

CHAPTER II

Measurement of Spontaneous Polarisation

2.1 Introduction

The magnitude of the spontaneous polarisation P_s is obviously of fundamental importance in determining the operating characteristics of a ferroelectric liquid crystal (FLC) device.¹⁻³ To synthesise FLC materials with large P_s , and hence lower operating voltages, it is essential to know the relationship between magnitude of P_s and the molecular structure.^{4,5} The symmetry considerations which predict¹ the existence of ferroelectricity in liquid crystals do not give any information concerning the magnitude of P_s . Despite a number of studies⁶⁻⁸ in this direction, the delicate interplay between the molecular structure and the P_s values is not well understood. However, these studies have helped in framing some empirical rules. The major factors which have been found to control the magnitude of P_s are:

1. the size of the lateral dipole moment at the chiral centre,⁹
2. the degree to which the rotations of the molecules especially the chiral centre are hindered,¹⁰

3. the degree of the coupling between the dipole at the chiral centre with any other dipoles in the molecule,¹¹
4. the distance between the chiral centre and the central rigid core,¹²
5. the alkyl chain length.¹³⁻¹⁵

However, not many systematic experimental studies had been done to check the validity of some of these conclusions.

This chapter describes systematic measurements of P_s on a number of compounds belonging to four structurally similar homologues series. The studies bring out the influence of several factors like (a) the length of the alkyl chains, (b) the number of chiral centres, (c) spacer groups between the chiral group and the core, etc., on the magnitude of P_s .

The chapter begins with a detailed description of the experimental set-up including the apparatus used to measure P_s , the techniques employed for alignment of the sample, the temperature control and the hardware/software for programmed acquisition and analysis of the data, followed by the results obtained for the four series of compounds. Finally, some of the features observed are discussed in terms of a microscopic^{16,17} theory.

2.2 Materials

As the aim was to study the effect of subtle changes in the molecular structure on the magnitude of P_s , care was taken in selecting the materials. The compounds chosen belong to four different homologues series and were synthesised in our laboratory.¹⁸ The compounds are basically derivatives of *trans*-p-n-alkoxy cinnamate. In all the four series, for convenience referred to as series A,B,C and D, the homologues with n

$n = 7$ to 12 (where n denotes the number of carbon atoms in the chain) exhibit SmC* phase over a large temperature range (more than 10°C). The structural formulae, phase transition temperatures along with the heats of transition are given in tables 2.1 to 2.4. In compounds of both series D and B there are two asymmetric carbon atoms, the difference being that in series D the chiral group is attached directly to the core, but is separated by a CH_2 spacer in series B (for all practical purposes the COO group at one end of the core is considered to be a part of the core).¹⁹ This feature is retained between series C and A but in both of them the number of chiral centres is reduced to one. Thus the studies would bring out the different factors influencing the magnitude of P_s , for example, effect of the spacer group when two chiral atoms are present (series D and B) and when one chiral atom is present (series C and A), effect of an additional chiral atom when the core is close to it (series D and C) or with a spacer between (series B and A), and the effect of chain length.

Another significant feature of these series of compounds is the temperature range of the smectic A phase - which has been observed²⁰⁻²² to determine the nature of the SmA-SmC* transition - decreases as the chain length increases (see Figs. 2.1a-2.1d). Not many reports of such behaviour exist in the literature. And probably this is the first study where this reverse trend has been mentioned with its importance.

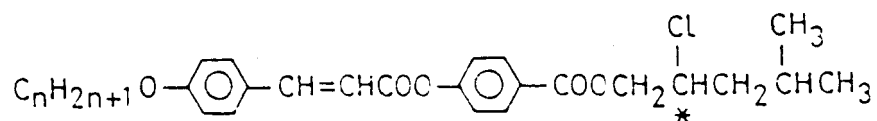
2.3 Experimental

2.3.1 The sample cell

Electrically, the sample cell can be considered as a parallel plate capacitor. It is made up of two thin (thickness ~ 0.7 mm), flat and transparent glass plates (Balzers, Switzerland) made conducting by Indium tin oxide (ITO) coating. The glass plates have the required electrode pattern (see Fig.2.2) etched on them by using dilute

Table 2.1

Transition temperature ($^{\circ}\text{C}$) and heats of transition
(kcal/mole) of A,-series



n	K	C*	A	Ch	I
6	. 9.1	(. 51.5) 0.05	. 41.5 0.35	. 78.0 0.2	. 80.5 0.2
7	. 7.9	(. 57.5) 0.01	. 50.0 0.25	. 74.5 0.22	. 77.0 0.22
8	. 5.8	. 50.0 0.08	. 56.5 0.21	. 79.5 0.16	. 80.2 0.16
9	. 10.0	(. 62.0) 0.1	. 60.5 0.2	. 78.0 0.2	. 79.0 0.2
10	. 7.7	. 44.0 0.09	. 63.5 0.9	. 81.1 0.9	-
11	. 9.1	. 55.5 0.06	. 64.5 0.9	. 80.5 0.9	-
12	. 8.1	. 52.0 0.01	. 65.5 1.0	. 81.0 1.0	-

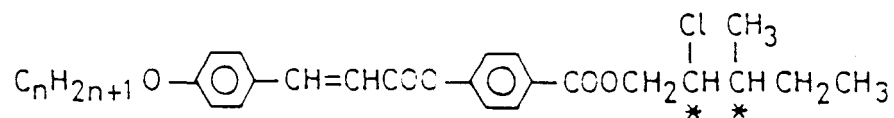
K = crystal, C* = chiral smectic C,
A = smectic A, Ch = chiral nematic and
I = isotropic phase.

The temperature in parentheses indicate a
monotropic transition

*These compounds exhibit a blue phase.

Table 2.2

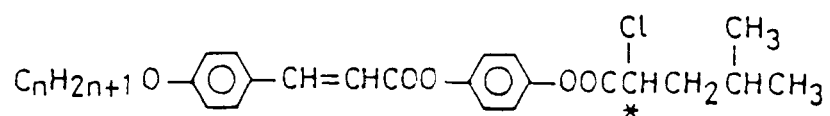
Transition temperature ($^{\circ}\text{C}$) and heats of transition
(kcal/mole) of B_n -series



n	K	C*	A	Ch	I
6	. 71.0 5.2	-	. 83.5 0.4	. 84.5 0.1	.
7	. 64.5 8.1	(. 52.5) 0.05	. 80.5 0.2	. 82.5 0.14	.
8	. 57.0 7.3	. 59.0 0.04	. 84.5 0.3	. 85.0 0.2	.
9	. 52.0 6.8	. 64.0 0.05	. 85.0 0.8	-	.
10	. 42.5 7.2	. 66.5 0.05	. 87.0 0.09	-	.
11	. 62.5 9.2	. 68.5 0.04	. 88.5 0.97	-	.
12	. 53.5 10.5	. 69.5 0.05	. 88.5 1.4	-	.

Table 2.3

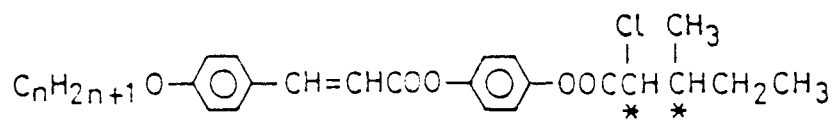
Transition temperature ($^{\circ}\text{C}$) and heats of transition
(kcal/mole) of C_n -series



n	K	C*	A	I
6	81.5 6.01	(. 56.0) 0.04	. 93.5 0.96	.
7	55.0 8.16	. 67.0 0.05	. 90.5 0.81	.
8	62.0 5.80	. 73.0 0.05	. 92.5 0.97	.
9	73.0 6.13	. 75.5 0.06	. 90.5 1.0	.
10	69.0 5.59	. 78.0 0.05	. 92.5 0.92	.
11	54.0 6.43	. 79.0 0.04	. 92.0 1.04	.
12	62.0 7.5	. 80.0 0.05	. 92.5 1.0	.

Table 2.4

Transition temperature ($^{\circ}\text{C}$) and heats of transition
(kcal/mole) of D_n -series



n	K	C*	A	Ch	I
6	81.0 6.0	56.5 0.03	90.5 0.13	98.5 0.14	.
7	61.5 8.7	68.5 0.06	88.0 0.12	95.0 0.21	.
8	61.0 4.5	73.0 0.05	93.0 0.10	96.5 0.18	.
9	69.0 7.3	78.0 0.06	95.0 0.05	97.0 0.23	.
10	56.0 8.0	80.0 0.04	98.5 0.07	99.0 0.21	.
11	50.0 5.43	83.5 0.06	99.5 0.89	-	.
12	56.5 10.8	84.5 0.05	100.0 1.0	-	.

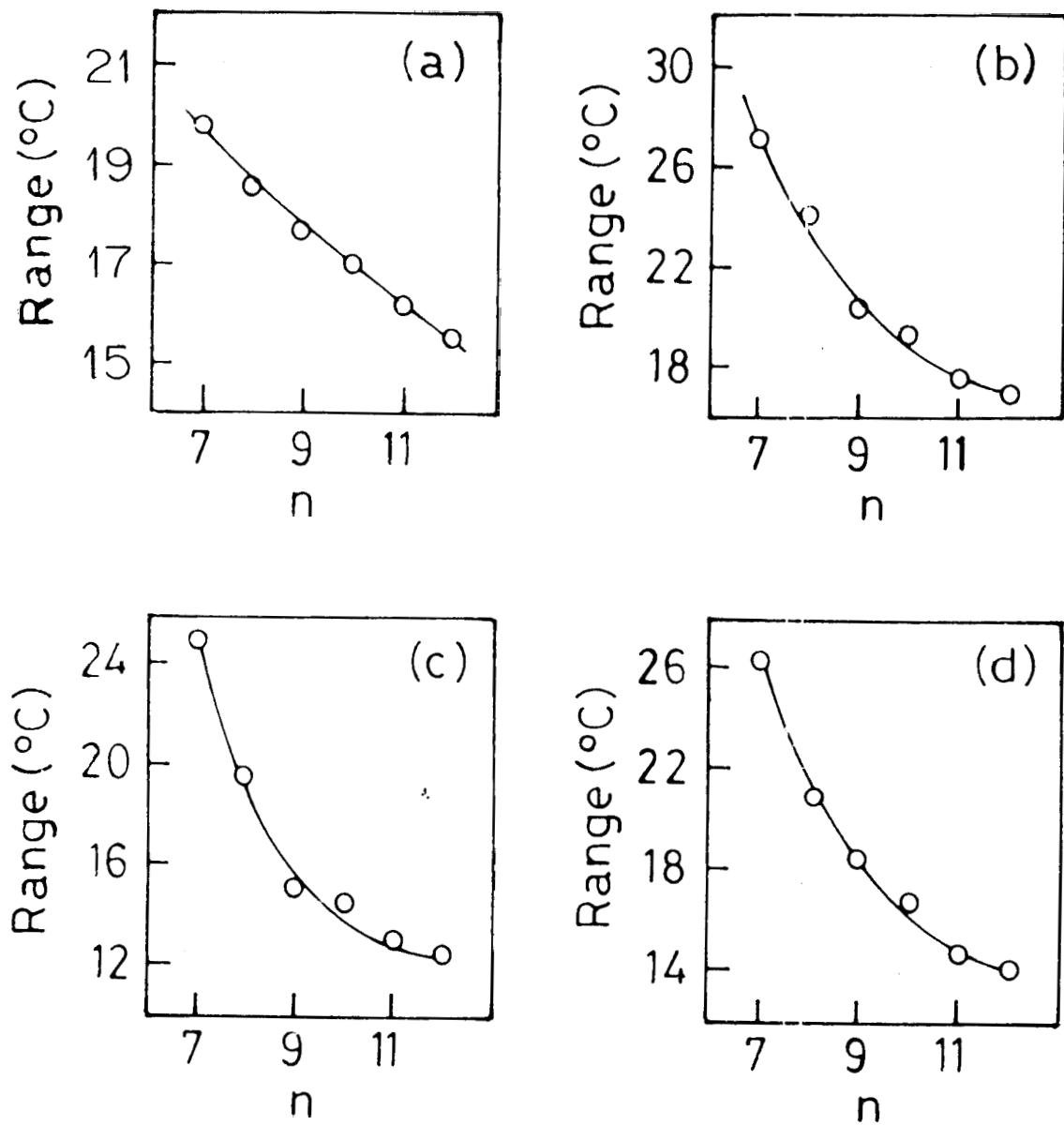
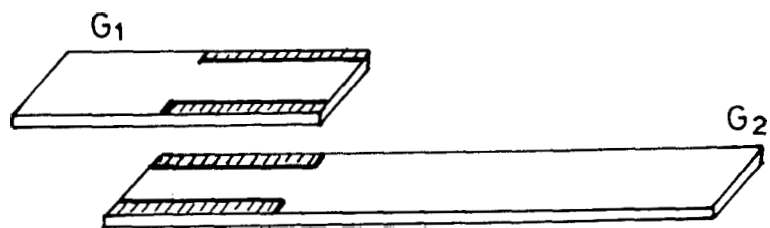


Fig.2.1. Range of the smectic A phase as a function of alkyl chain length for (a) series D, (b) series B, (c) series C and (d) series A



G_1, G_2 - ITO coated glass plates
Hatched portion - Etched part of the glass plates

Fig.2.2. Schematic diagram of the sample cell.

hydrochloric acid and zinc dust. The two plates, with mylar spacers to define the thickness of the sample, were glued together by a non-conducting and 11011-reactive epoxy (Rhodorsil Silicones CAF4) and cured at suitable temperature in an oven. (This epoxy was found to be effective even up to 200°C.) While preparing the sample cell, care was taken to see that neither the mylar spacer nor the epoxy enter the active area. This ensures the proper determination of the active area of the sample. After preparing the cell its thickness was measured to a high precision ($<0.1 \mu m$) by optical interference technique.²³ Only those cells which had uniform thickness throughout the active area were chosen for the experiments. For accurate P_s measurements an accurate value of the active area of the cell is necessary. For this purpose the capacitance technique was employed. The capacitance of the empty cell C_o , was accurately measured by an impedance analysis (HP 4192 A). The area 'A' of the cell was calculated knowing the value of C_o , the thickness d obtained by the interference method²³ and using the relation $A = \frac{C_o d}{\epsilon_o}$, where ϵ_o is the permittivity of the free space. Using this procedure the accuracy in the determination of the area is reckoned to be better than $\pm 2\%$ of the actual value.

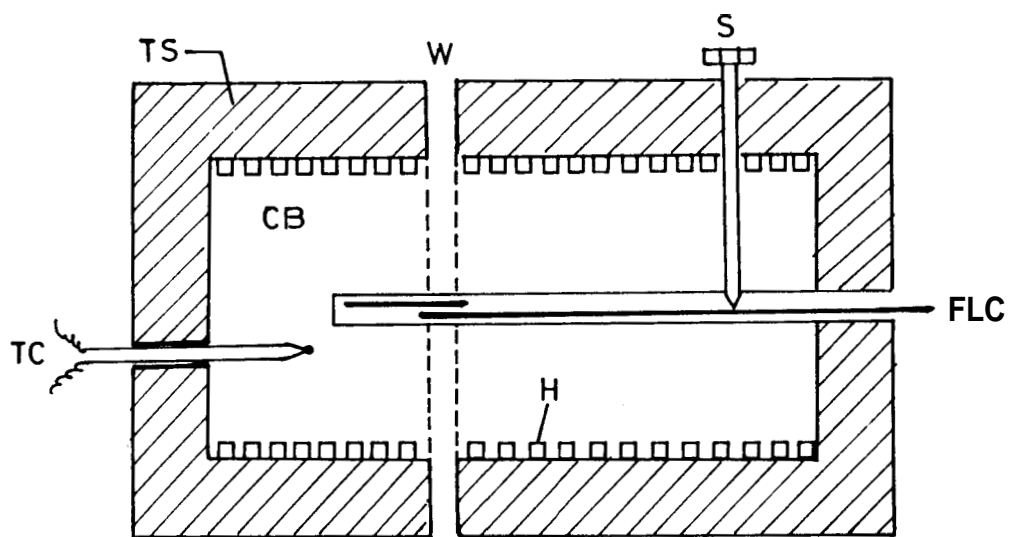
2.3.2 Sample cell holder and heater assembly

Since the transitions studied were at temperatures higher than the ambient temperature, an efficient but convenient cell holder and heater assembly was necessary. While constructing such an assembly certain factors had to be borne in mind.

1. the temperature over the entire area of the sample should be very uniform,
2. it should be possible to raise or lower the sample temperature over a wide range (room temperature to 150°C),
3. the sample temperature should be measured with high precision,

4. the heater assembly should be able to hold the sample temperature over periods of ~ 10 minutes with minimal drift,
5. it should be conveniently possible to apply a magnetic field for sample alignment.

Keeping these facts in mind a cell holder heating assembly was designed and constructed. The schematic diagram of the heater is shown in Fig. 2.3. The heater is made up of a rectangular copper block. At the centre of this block a slot was made along its length for the sample cell. Kapton based thermofoil heater (Minco) strips were wrapped around the copper block by taking care to see that the thermal power would be uniformly spread out. This ensures a uniform heating of the block and hence that of the sample cell placed inside the block. The copper block was covered on all sides by thick PTFE (Teflon) sheets which provide very good thermal insulation. A small circular hole (diameter ~ 3 mm) running through the body of the heater served as an optical window to check the alignment of the sample as well as to monitor the transition temperature. Both the entrance and exit sides of the window are covered by thin mylar strips to avoid any air currents affecting the sample temperature. A screw threaded into the body and positioned near the opening made to introduce the glass cell, holds the cell flush with the surface of the copper block and ensures uniform heating of the cell. The tip of this screw is made of an insulating material to minimise the heat losses. The foil heaters provided an effective heating capacity of about 50 watts. The power input to the foils was controlled using a programmable high stability DC power supply (Hewlett Packard, Model 6038A).



Sectional View

- | | |
|-------------------|---------------------------------------|
| CB : Copper block | S : Screw to fix the
Sample cell |
| TS: Teflon shield | W : Window for optical
observation |
| FLC : Sample cell | H : Thermofoil heater |
| TC : Thermocouple | |

Fig.2.3. Cross sectional view of the sample oven assembly.

2.3.3 Temperature control and measurement

For the measurement and control of the sample temperature an embedded chromel-constantan thermocouple was used. There are two reasons for the selection of this thermocouple - (1) both chromel and constantan are non-magnetic materials and so the temperature calibration is valid in the presence of a magnetic field also, (2) The thermo e.m.f. for this particular thermocouple is high ($\approx 60\mu V/^\circ C$) and therefore the temperature can be measured with a very high degree of accuracy. The thermocouple was located at the centre of the copper block and was fixed in position with a high temperature adhesive. The thermo e.m.f. of the thermocouple was measured using a high resolution, low drift digital nanovoltmeter (Keithley 181) which was interfaced to a microcomputer through the IEEE 488 bus.

The large thermal capacity of the heater combined with better insulation enabled the temperature to be maintained to a constancy of better than ± 3 mK over short periods (~ 10 minutes).

2.3.4 Temperature calibration of the cell

Calibration of the thermocouple was carried out using several liquid crystalline materials that were of high chemical purity and exhibited sharp phase transitions. The compounds used along with their transition temperatures, as measured using a polarizing microscope in conjunction with a commercially available programmable hot stage (Mettler FP82), the thermocouple output are listed in the table 2.5. A plot of the Mettler transition temperatures vs. the corresponding thermo emf (mV) is shown in Fig.2.4. It is known that the temperature vs. thermo emf curves can be described by polynomials. Figure 2.4 also show the fit of the data to a polynomial of the type $T = A_0 + A_1X + A_2X^2 + A_3X^3 + A_4X^4$ (where T is the temperature in

Table 2.5

Compounds used in the temperature calibration of the sample oven and their nematic-isotropic transition temperature

Compound	Mettler Temp ($^{\circ}\text{C}$)	Thermocouple emf (mV)
5CB	33.44	2.003
7CB	40.5	2.4688
9CB	49.95	3.0784
408	77.7	4.873
7OPDOB	88.32	5.582
CBOOA	107.8	6.918
PAA	135.75	8.8667

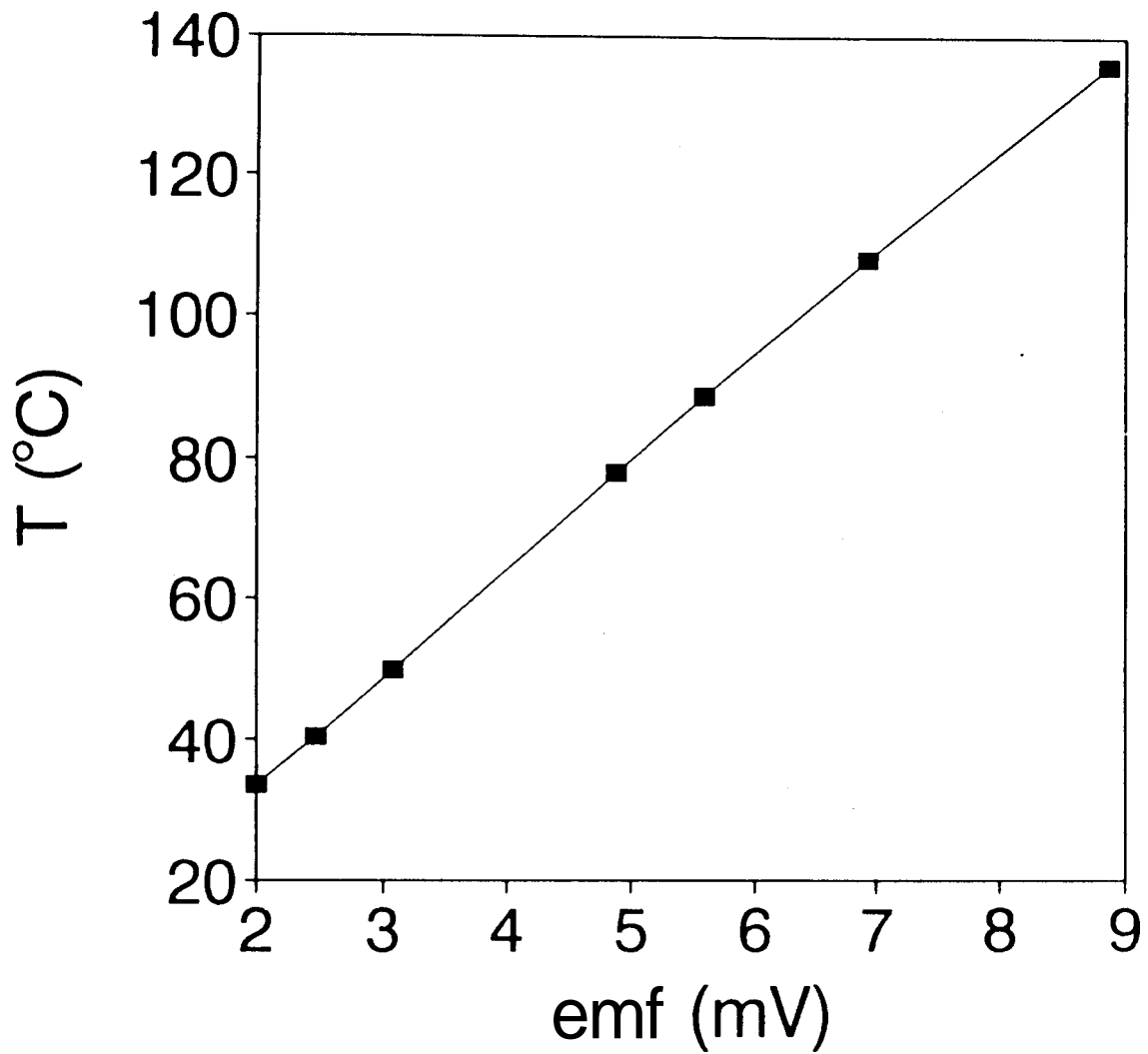


Fig.2.4. Temperature calibration curve of the sample oven using the substances listed in Table 2.5; ■ Squares represent experimental points and the line fit to a polynomial.

$^{\circ}\text{C}$ and X the thermo emf in (mV) using a least square fit programme. The values obtained for the constants are listed below.

1. $A_0 = 6.7084$

2. $A_1 = 10.988$

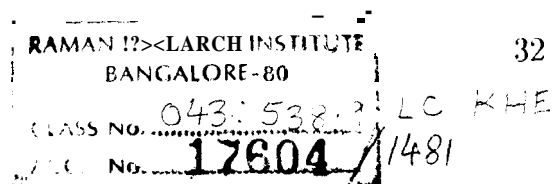
3. $A_2 = 1.5856$

4. $A_3 = -0.2248$

5. $A_4 = 1.03\text{E-}2$

2.3.5 The sample alignment

A convenient way of measuring P_s of a FLC is to have the sample aligned in the homogeneous (planar) bookshelf geometry.² Here the smectic layers will be perpendicular to the glass plates (electrodes) and thus the field is applied parallel to the smectic layers and perpendicular to the director n (see Fig. 2.5). A proven method²⁴ for realising well oriented samples is by surface treatment of the substrate. For this purpose, the ITO coated plates were treated with polyimide solution and rubbed unidirectionally, after which the cell was assembled as described earlier. The sample was filled into the cell in the isotropic state by capillary action. Care was taken to see that the sample is filled uniformly without any air bubbles. As a last step towards obtaining good alignment, the sample was heated to a temperature slightly above the isotropic point and cooled slowly, across the Iso-SmA or Iso-Ch-SmA, at a rate of $2 \sim 3^{\circ}\text{C}/\text{hour}$. This procedure generally resulted in a monodomain smectic A phase. However, in a few cases application of a magnetic field, while cooling from the isotropic phase, was also necessary. The direction of the magnetic field



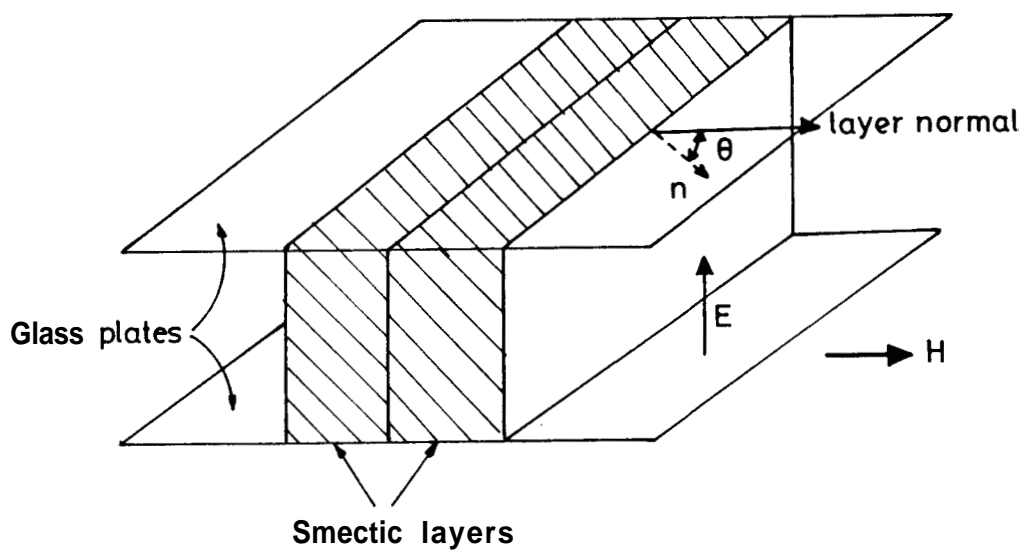


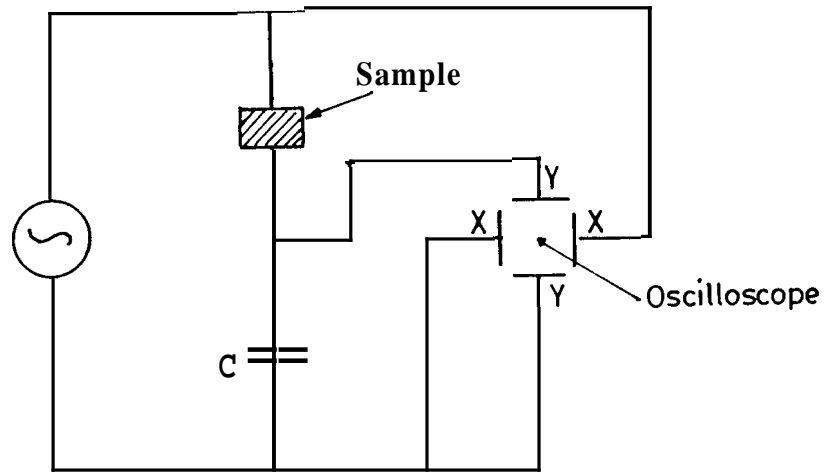
Fig.2.5. Schematic diagram of the alignment of molecules in the "book shelf geometry".

(maximum strength 2.4 T obtained by a Bruker BE25 electromagnet) was parallel to the plane of the glass plates and the rubbing direction (see Fig. 2.5).

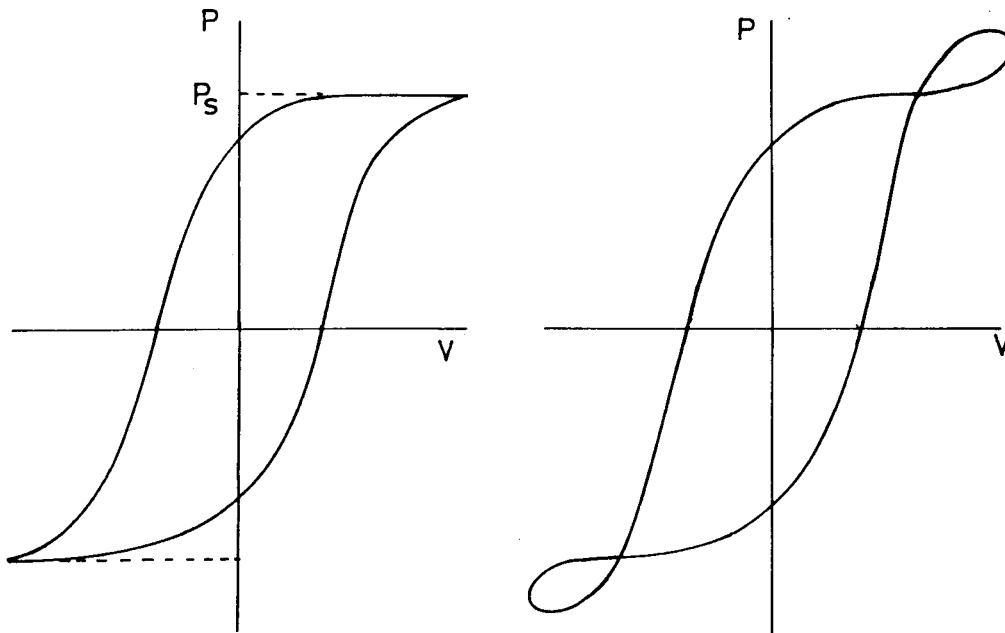
2.3.6 Measurement of spontaneous polarisation (P_s)

Several methods employed for the measurement of P_s in solid ferroelectrics, have been used in the case of FLCs also.²⁵⁻²⁷ In addition, a few techniques have been developed specifically for liquid crystals.²⁸⁻³¹ Among these a successful and very versatile method is the Diamant bridge³² method. We have adopted this modified form of the Sawyer-Tower³³ bridge, the principle of which is described briefly. In the Sawyer-Tower circuit (Fig. 2.6a) a low frequency sine-wave a.c. field of sufficiently high amplitude is applied to the ferroelectric sample. A plot of the input versus output signals produces a hysteresis loop characteristic³⁴ of a ferroelectric material. The P_s is extracted by extrapolating the linear part of the loop to $E = 0$ (see Fig. 2.6b). The main drawback of this simple method is that if the output consists even a small part of ionic and linear capacitive contributions then the loop gets distorted (Fig.2.6c) making it difficult to measure P_s accurately. A modified version of this, proposed by Diamant *et al.*,³² overcomes this problem. In this method, also usually referred to as the hysteresis loop method, two parallel Sawyer-Tower circuits are used, one containing the sample and the other a resistor capacitor combination, both of which can be independently varied. The latter enables compensation of ionic and linear capacitive portions of the output signal. This is achieved by feeding the output from the sample and the compensating Sawyer-Tower circuit to a difference amplifier.

The Diamant bridge circuit along with a schematic diagram of the data acquisition system used is shown in the Fig.2.7a. The bridge is balanced by the



(a)



(b)

(c)

Fig.2.6. (a) Circuit diagram of the Sawyer Tower bridge. Hysteresis loop from:
 (b) an ideal sample, (c) sample having finite ionic contribution.

compensating capacitors and resistors C_C & R_C . A six decade variable resistance (smallest step 0.1 k Ω) box (R_C) was constructed for this purpose. While the capacitor was a commercially available variable five decade capacitor (HP 4440B) made of silvered mica sheets (smallest step 2 pf). Two fixed value capacitors (0.1 μF) form the other two arms of the bridge. A function generator (EJP 8116) delivers the sine waves whose amplitude was amplified by a high voltage high fidelity amplifier (Kepco BOP 1000M) and thus the required voltage was applied to the sample. By tuning R_C and C_C values the conductivity and capacitance distortions could be completely corrected. The outputs from the *sample arm* and the *compensating arm* of the bridge were fed to a programmable gain difference amplifier (Analog Devices, AD524) to produce a perfect hysteresis loop (see Fig. 2.7b). The applied and the amplifier output signals are then digitised using a digitiser-cum-data acquisition system (HP7090A). This is a 3 channel high resolution (12 bit) and low drift, wide range input (0.1 mV to 100 V) digitiser having a maximum sampling rate of **33,000** samples/sec for each channel and an onboard memory 21 kbytes, enough to hold 3000 data-points. The data thus collected was transferred to an online computer (HP86B) for storage. The data acquisition system and the temperature measuring nanovoltmeter were interfaced to the computer through GPIB (IEEE 488) Bus (see Fig.2.7a). By measuring the amplitude V_p of the output wave, P_s can be calculated using the relation

$$P_s = \frac{CV_p}{A} \quad (2.1)$$

where C (C_f in the Fig. 2.7a) is the value of the standard capacitor and A the active area of the sample cell. Almost full automation of data acquisition and temperature monitoring enabled collection of data at very close intervals of temperature.

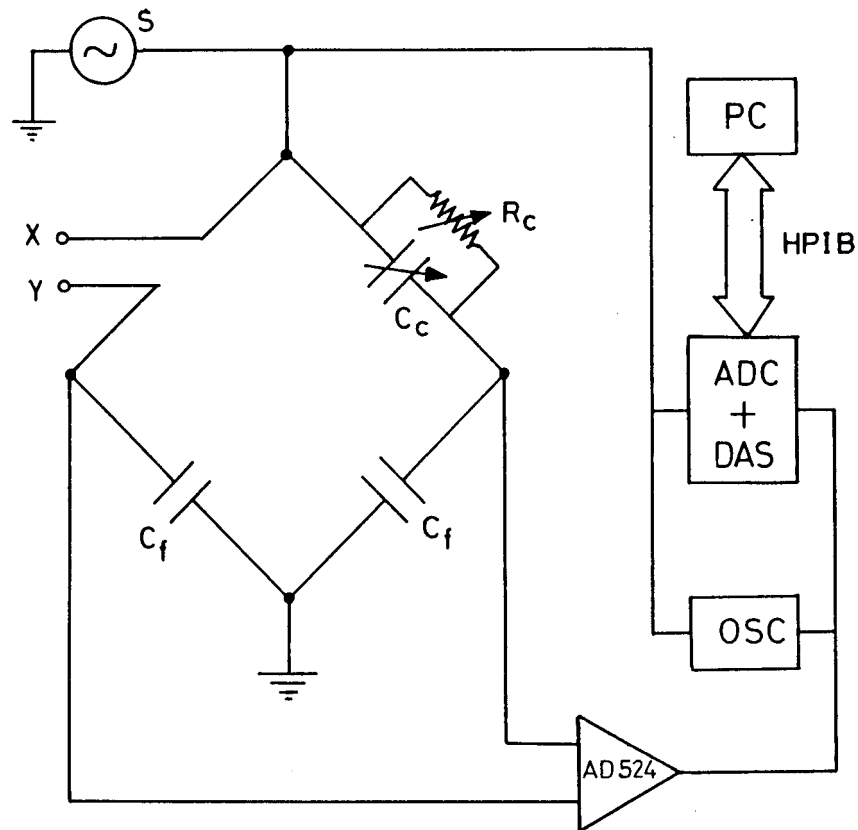
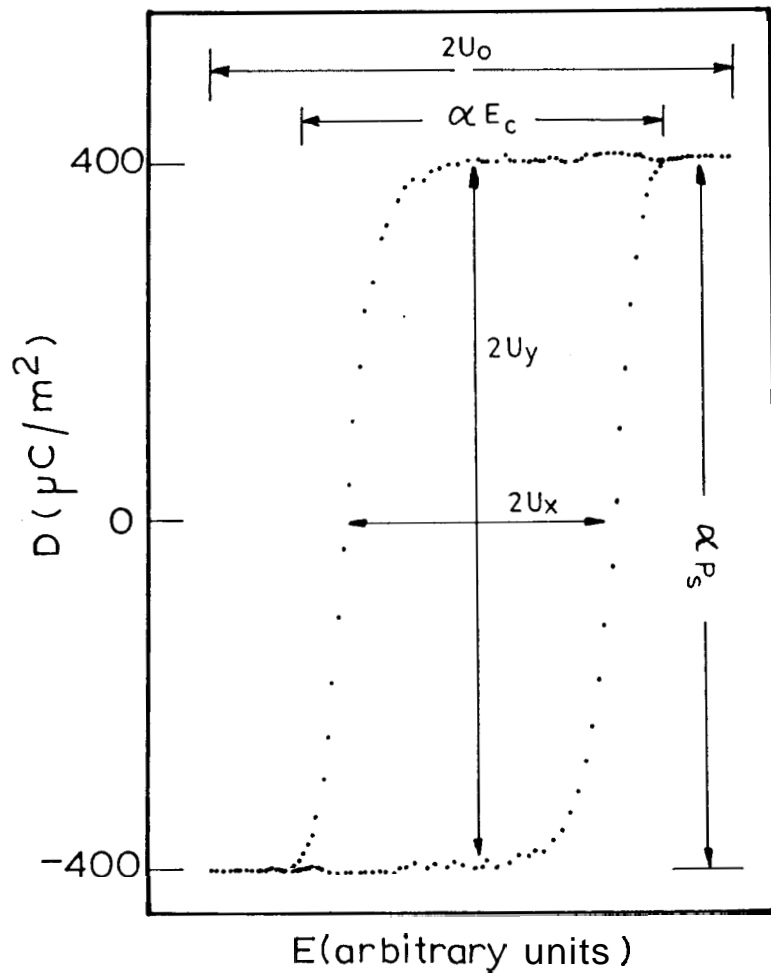


Fig.2.7. (a) Experimental set-up on Diamant bridge technique. S: Signal source; X,Y : Sample leads; R_c , C_c : Compensating resistor, Capacitor combination; C_f : Fixed capacitor; OSC : Dual channel storage oscilloscope; ADC + DAS : Analog-Digital Converter and Data Acquisition System; PC : Personal Computer. AD524 is a programmable gain instrumentation operational amplifier.



(b) A typical hysteresis loop.

2.3.7 Calibration of the Diamant bridge

The set up was checked electrically as well as by measuring P_s as a function of temperature for two ferroelectric liquid crystalline materials (MCP7OB and CE8) for which such results have already been reported, by Bahr and Heppke¹³ for MCP7OB and by Spruce and Pringle³⁵ for CE8. The data obtained using our set up agrees to within 5% of the values reported for MCP7OB and within 10% for CE8 over the entire temperature range in both cases, as seen from Figs. 2.8a and 2.8b.

While conducting the measurements of P_s , we have always cooled the sample $\sim 10^\circ\text{C}$ below the A-C* transition temperature and the P_s measurements were done on the heating mode. Throughout the measurement, the shape of the hysteresis loop was monitored using a dual channel storage oscilloscope (Philips PM2203) and the bridge was kept in a balanced condition by adjusting R_C and C_C . The rate of heating was $\sim 6^\circ\text{C}/\text{hour}$ away from T_c and $\sim 1^\circ\text{C}/\text{hour}$ close to T_c . The sample alignment was constantly checked by a polarising microscope.

2.3.8 Measurement of tilt angle

As already pointed out in chapter I, for FLCs P_s is the secondary order parameter and the tilt angle is the primary order parameter.⁹ To compare the data with the predictions of the existing theoretical models it would be necessary to know the temperature variation of tilt angle also. For this we measured the tilt angle by the X-ray diffraction method. By measuring the smectic layer spacing d_A in SmA phase and d_{C^*} in SmC* phase the tilt angle is evaluated using the relation $\theta = \cos^{-1}\left(\frac{d_{C^*}}{d_A}\right)$. Here d_A is the layer spacing value in the SmA phase as measured just before the transition. For the layer spacing measurement in SmA and SmC* phases an existing experimental set up³⁶ was used, a schematic diagram of which is given in Fig.2.9.

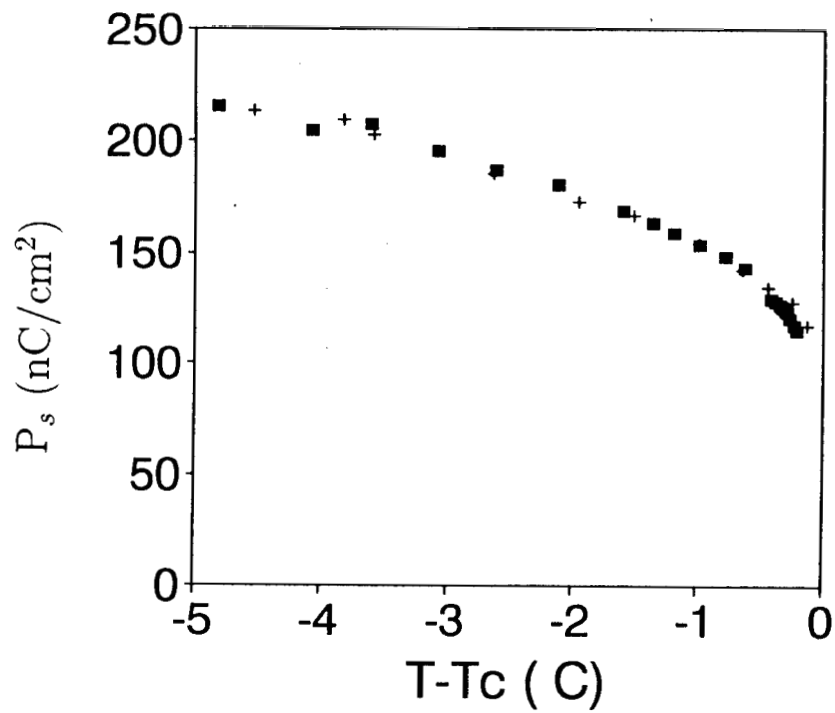


Fig.2.8(a). Comparison of P_s values obtained for MCP70B from our set-up (\square) with those (+) reported by Bahr and Heppke¹³.

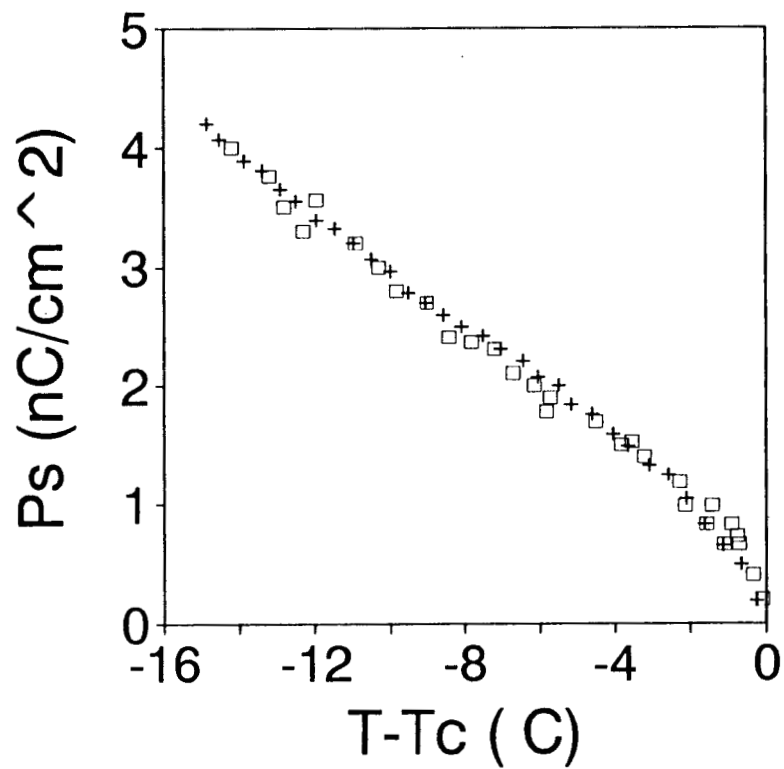


Fig.2.8(b). Comparison of P_s value obtained for CE8 from our set-up (\square) with those by Spruce and Pringle.³⁵

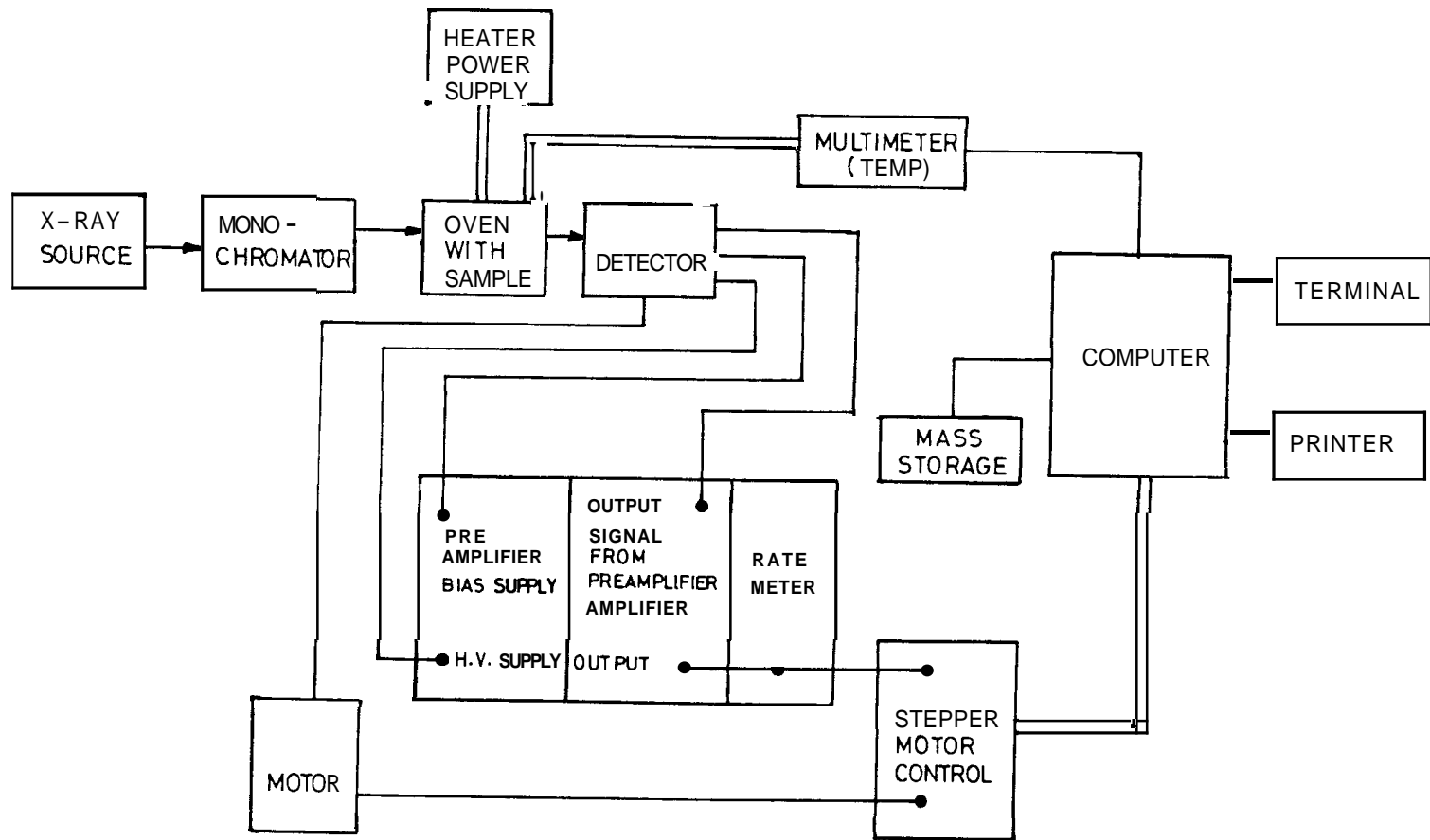


Fig.2.9. Block diagram showing the experimental set-up used for Xray diffraction studies.

The sample was taken in a Lindemann capillary (0.7 mm diameter) both the ends of which are sealed. A copper capillary holder was placed inside a temperature controlled oven. An aligned SmA phase was obtained by cooling the sample at a slow rate of 3°K/hour from the isotropic phase in the presence of a 2.4 T magnetic field. The aligned sample was then transferred along with the oven to the goniometer head of the diffractometer. Measurements were done using a computer controlled Guinier diffractometer (Huber 644). Copper $K\alpha_1$ and $K\alpha_2$ lines were separated and only $K\alpha_1$ was used for the experiment. During any measurement the temperature was kept constant to $\pm 10mK$.

2.4 Results

2.4.1 Series D: Compounds with two chiral centres and without spacer group

Fig.2.10 shows the thermal variation of P_s for the six homologues of this series (n=7 to 12). As expected, for all the compounds P_s is found to decrease with increasing temperature and goes to zero continuously at T_c (T_c is the SmA-SmC* transition temperature). A notable feature is that as the chain length increases, there is a substantial decrease in the magnitude of P_s . In addition, the rate at which P_s decreases with temperature, close to T_c , decreases as the chain length is increased. Fig. 2.11 shows a plot of P_s at $T - T_c = -10^\circ C$ versus chain length. It is seen that for the 7th homologue P_s is about $1500 \mu C/m^2$ whereas for the 12th homologue it reduces to about $750 \mu C/m^2$.

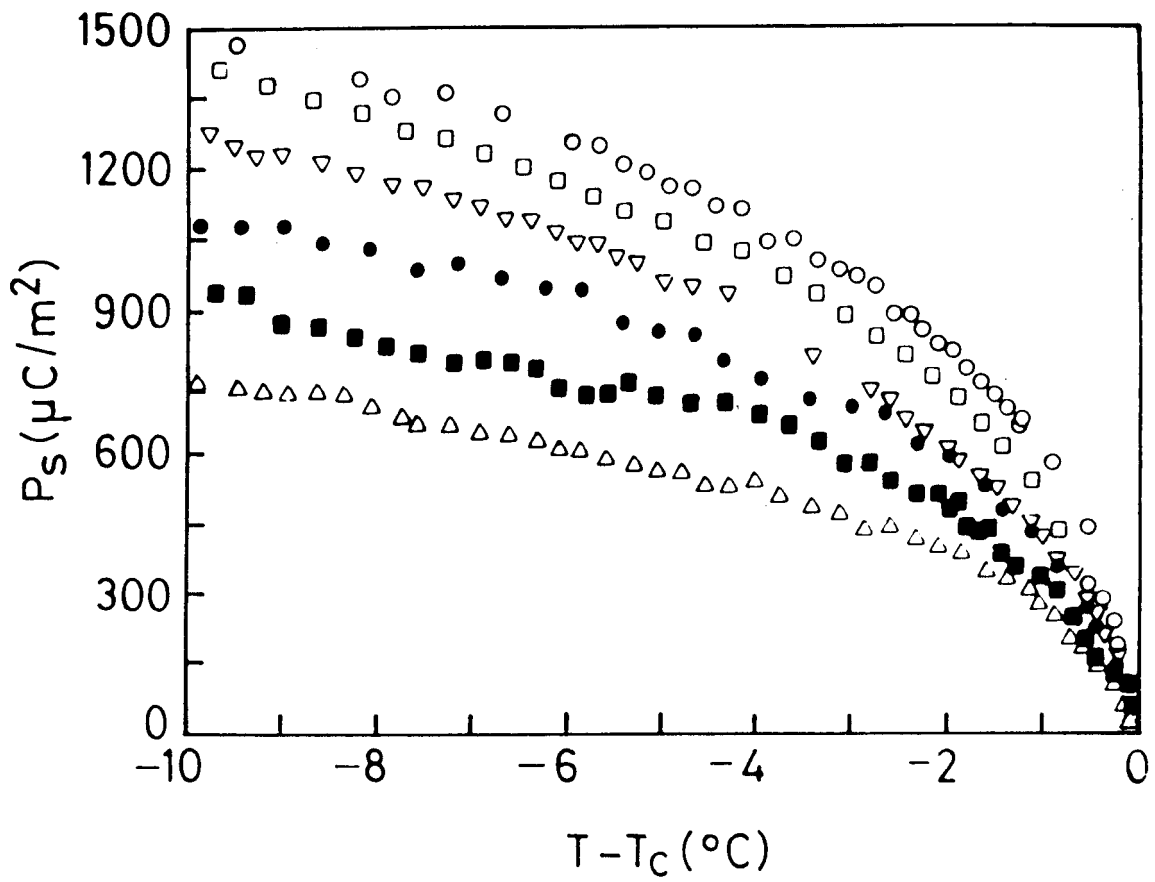


Fig.2.10. Thermal variation of spontaneous polarisation P_s for $n = 7$ (\circ), $n = 8$ (\square), $n = 9$ (∇), $n = 10$ (\bullet), $n = 11$ (\blacksquare) and $n = 12$ (\triangle) of series D.

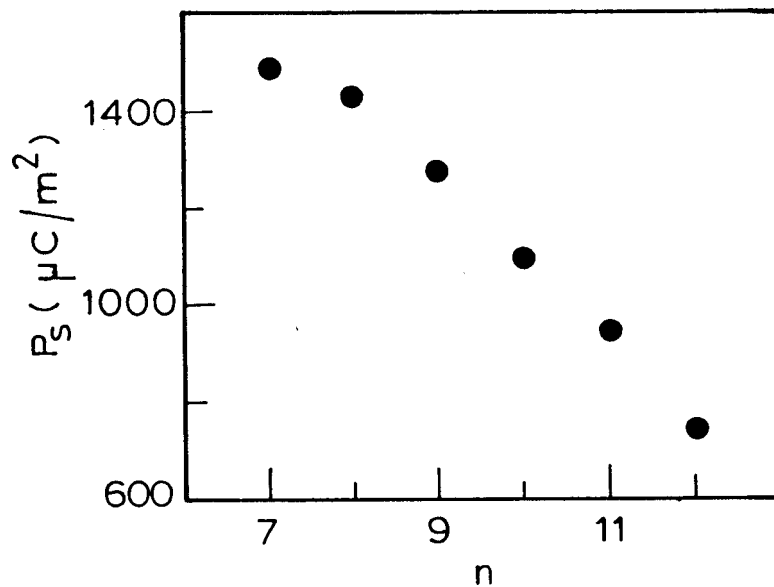


Fig.2.11. P_s at $T_c - T = 10^\circ\text{C}$ as a function of chain length n .

2.4.2 Series B: Compounds with two chiral centres and with a CH₂ spacer group

Fig. 2.12 is a plot of P_s vs. $T - T_c$ for the six homologues of this group ($n=7$ to 12). The features observed are very similar to that of series D, viz., P_s decreases with increase in temperature and goes to zero continuously at T_c , the value of P_s decreases substantially as n increases (see Fig.2.13). However, the overall magnitude of P_s itself is much smaller for series B as compared to series D. For example, at $T - T_c = -10^\circ\text{C}$ for 7th homologue it is $1500 \mu\text{C}/\text{m}^2$ in series D and $650 \mu\text{C}/\text{m}^2$ for series B (compare Figs. 2.11 and 2.13). As we see later, this appears to be due to the addition of a spacer group.

2.4.3 Series C: Compounds with one chiral centre without CH₂ spacer

The plots of P_s vs. $T - T_c$ for the six homologues ($n = 7$ to 12) of this series and the variation of P_s at $T - T_c = -10^\circ\text{C}$ vs. chain length are given in Figs. 2.14 and 2.15. The behaviour observed is in agreement with those of series D and B. Results for this series show that reducing the number of asymmetric carbon atoms decreases the value of P_s . At $T - T_c = -10^\circ\text{C}$, the 7th homologue of series C has P_s value $960 \mu\text{C}/\text{m}^2$ as against $P_s = 1500 \mu\text{C}/\text{m}^2$ for series D. (A more detailed discussion of the effect of the second chiral atom is given later.)

2.4.4 Series A: Compounds with one chiral centre and a CH₂ spacer group

Plots of P_s versus temperature and P_s versus chain length are given in Figs. 2.16 and 2.17. The observed variations are in conformity with the behaviour of series D, B and C. The results on this series show the combined effect of reducing a chiral

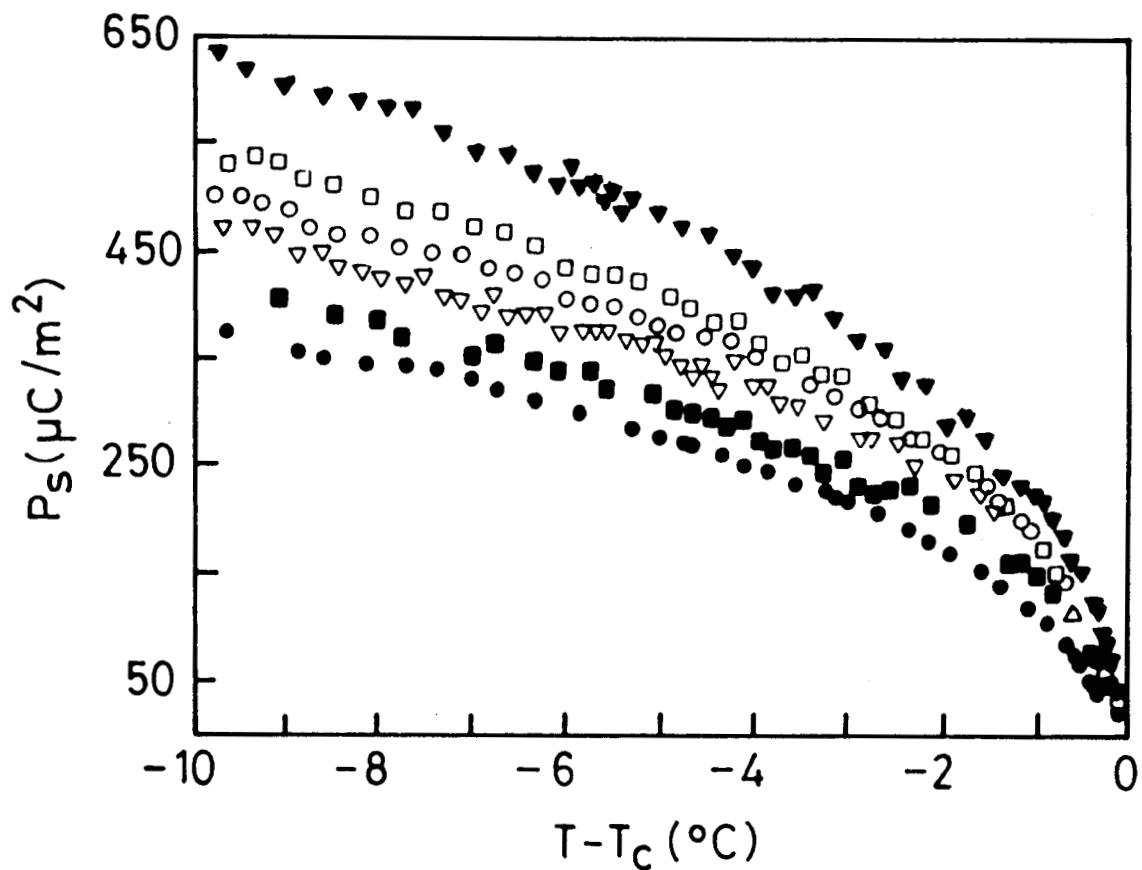


Fig.2.12. Thermal variation of spontaneous polarisation P_s for $n = 7$ (\blacktriangledown), $n = 8$ (\square), $n = 9$ (\circ), $n = 10$ (∇), $n = 11$ (\blacksquare) and $n = 12$ (\bullet) of series B.

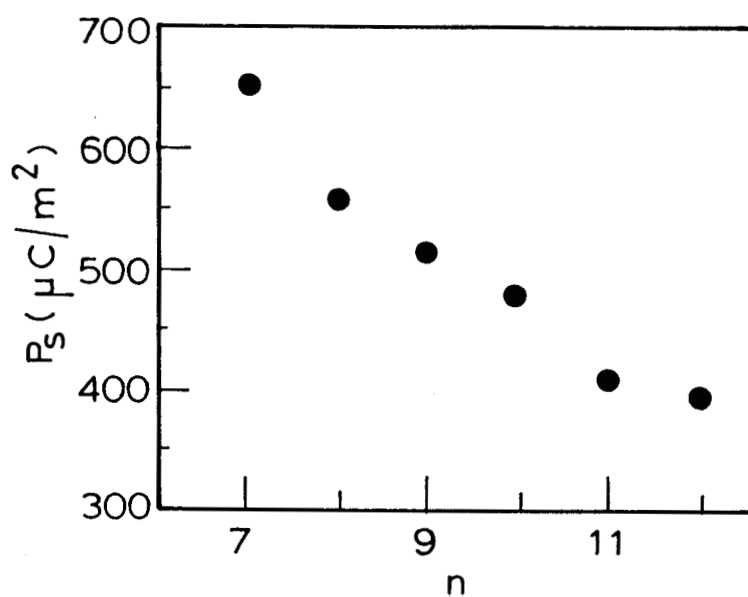


Fig.2.13. P_s at $T_c - T = 10^\circ\text{C}$ as a function of chain length n .

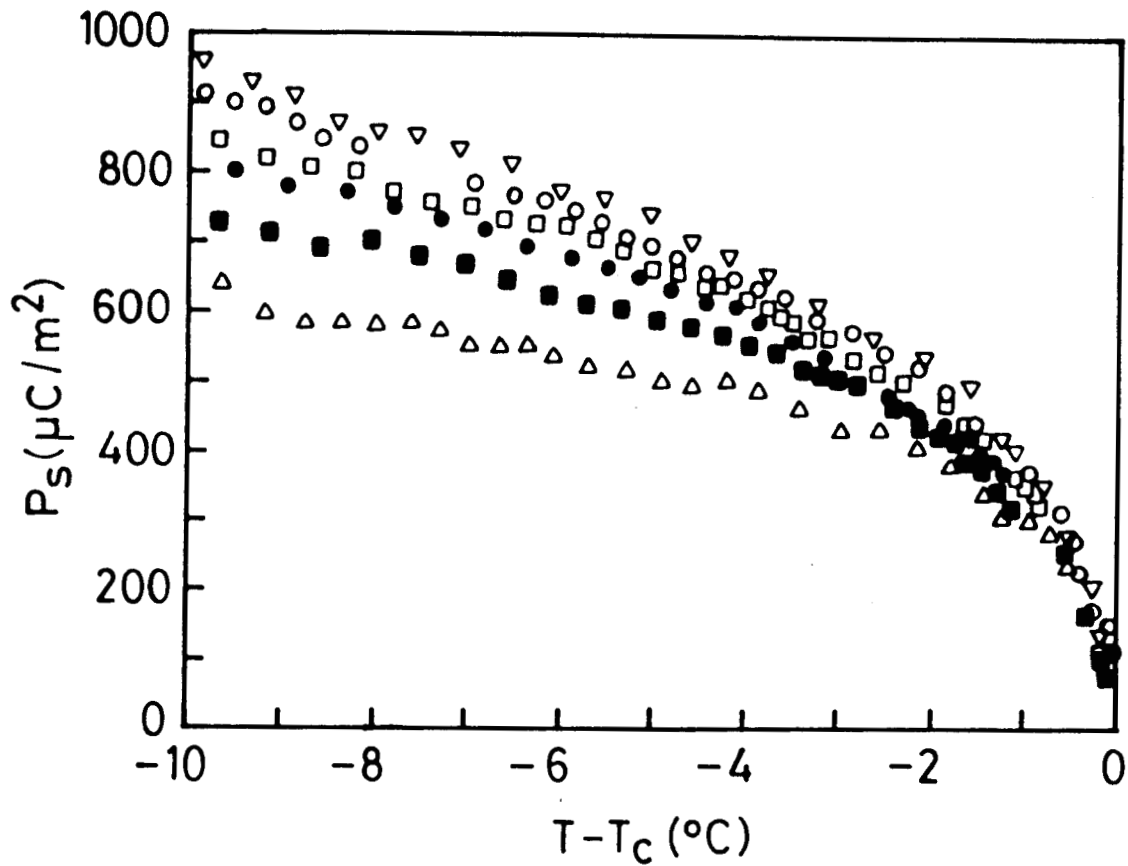


Fig.2.14. Thermal variation of spontaneous polarisation P_s for $n = 7$ (∇), $n = 8$ (\circ), $n = 9$ (\square), $n = 10$ (\bullet), $n = 11$ (\blacksquare) and $n = 12$ (\triangle) of series C.

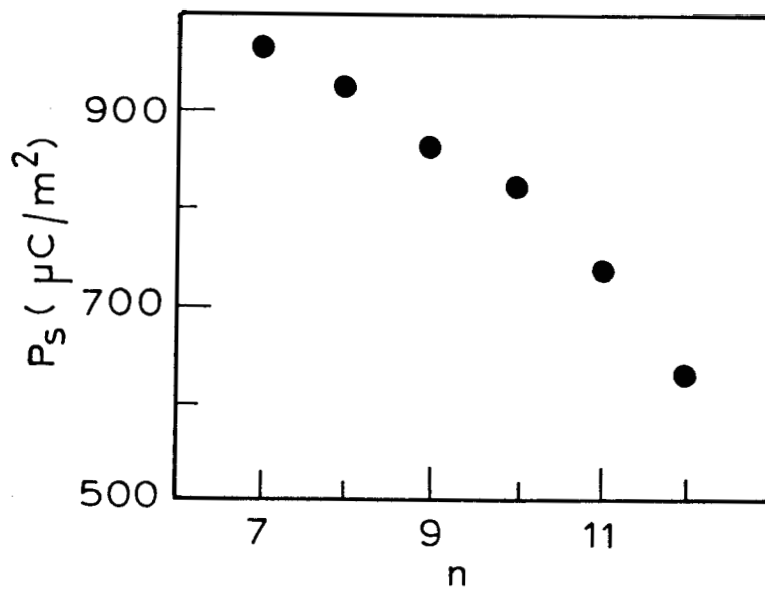


Fig.2.15. P_s at $T_c - T = 10^\circ\text{C}$ as a function of chain length n .

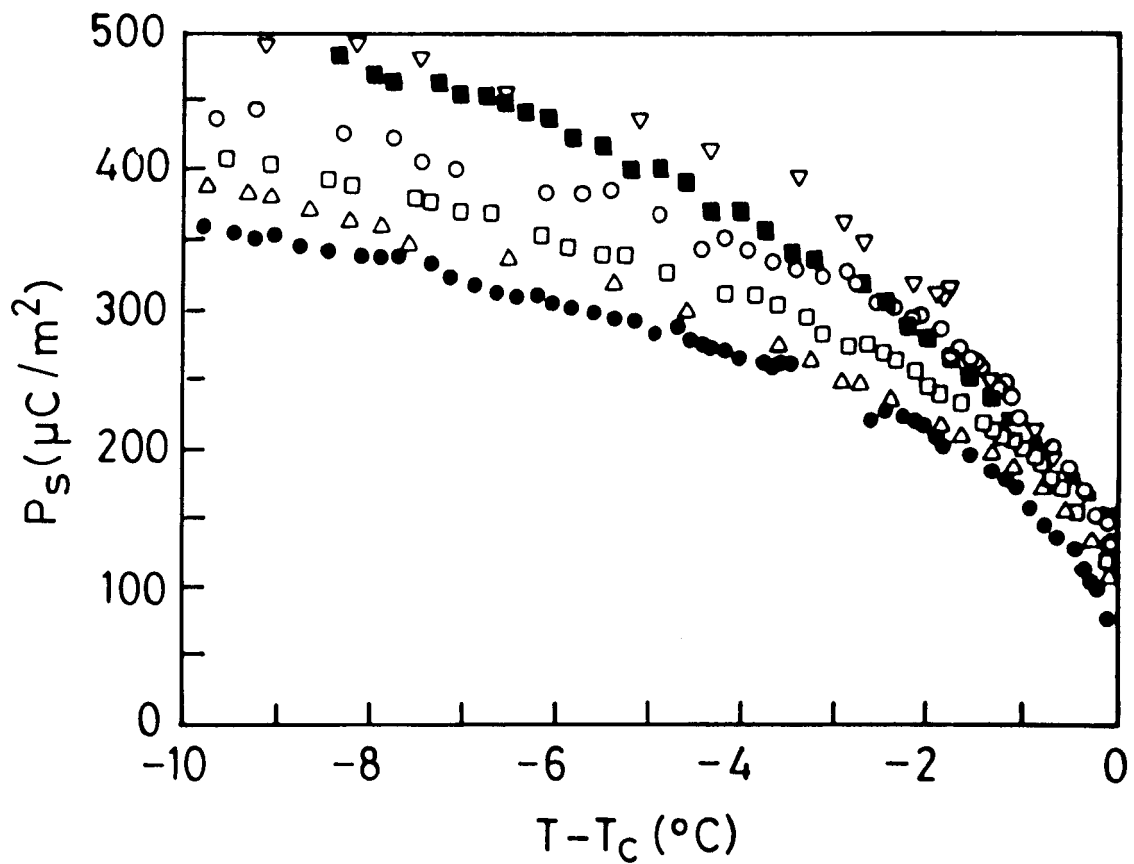


Fig.2.16. Thermal variation of spontaneous polarisation P_s for $n = 7$ (∇), $n = 8$ (\blacksquare), $n = 9$ (\circ), $n = 10$ (\square), $n = 11$ (\triangle) and $n = 12$ (\bullet) of series A

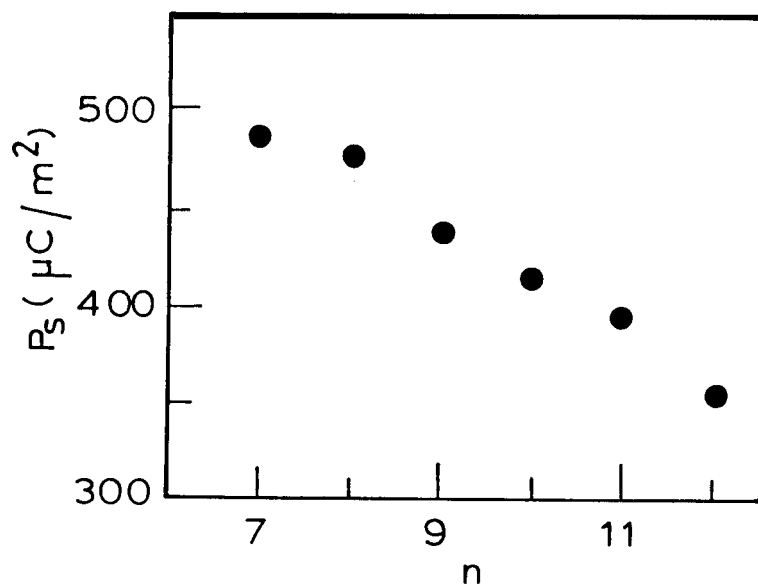


Fig.2.17. P_s at $T_c - T = 10^\circ\text{C}$ as a function of chain length n .

atom and introducing a spacer group.

2.5 Discussion

In this section we analyse our results in terms of the effect of different parameters like, length of the alkyl chain, number of asymmetric carbon atoms and the role played by spacer groups, on the magnitude of P_s .

2.5.1 Effect of alkyl chain length

From Figs. 2.11, 2.13, 2.15 and 2.17 it is obvious that P_s is strongly dependent on the length of the alkyl chain. In all the four series of compounds studied, the P_s value is found to decrease with increasing chain length. This behaviour is in agreement with earlier observations (though an exception to this, viz., P_s increases with increasing chain length has also been reported earlier¹⁵). The decrease in P_s with increasing n can be accounted for by the "zig-zag" conformation of the molecules³⁷ (see Fig. 2.18). According to this model the value of P_s is controlled by the effective tilt angle θ_t of the tail portion of the molecule. As the effective tilt angle θ_t decreases with increasing n , P_s should also decrease as the chain length is increased.

2.5.2 Effect of the number of chiral groups and their locations

As seen from tables 2.1 to 2.4, the selected homologues series provide different mutual combinations of number and location of chiral carbon atoms. The results obtained, described in the previous section, can be used to understand the effect of these subtle changes on the magnitude of P_s . The salient features which come out of such an analysis are mentioned below.

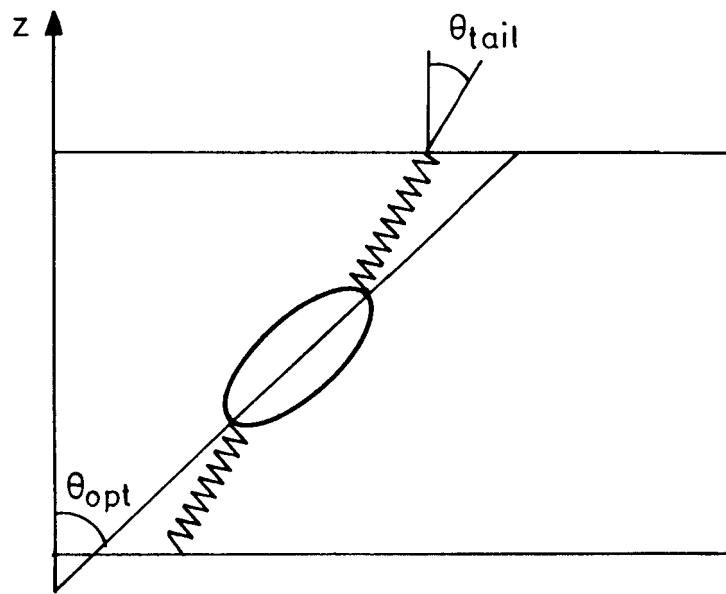


Fig.2.18. A zig-zag model for the molecular packing in the C^* phase.

1. If a spacer group (CH_2 group in our case) is introduced between the core and the chiral carbon atoms, it would decrease the magnitude of P_s . This is found to be true for both single chiral group (series C and A) and two chiral group (series D and B) structures. The decrease in the magnitude is substantial, being $\sim 50\%$. In fact such large changes occurring due to the inclusion of a spacer group has been observed by Yoshino *et al.*,¹² One possible explanation for this type of behaviour is that the presence of the spacer weakens the coupling between the dipole and the chiral centre enabling the dipole to rotate freely thus decreases the value of P_s .
2. It would appear that a simple way of increasing the magnitude of P_s is to increase the number of chiral carbon atoms. Although this is true, the relative increase is not very high. Only $\sim 30\%$ changes have been observed between series D and C and series B and A. This may be compared to the role played by the spacer group mentioned above. This shows that although the enhancement of steric hindrance, got by the addition of a second chiral group, increases P_s , it may not be the only key factor. Thus it appears that to obtain higher P_s values it is better to position the chiral centre close to the core rather than having many chiral centres located away from the core.

In addition to the above two important factors which decide the magnitude of P_s , we have noticed that the presence of any bridging group between phenyl rings also plays a role. To see this let us compare the results for series D with those synthesised for example, by Bahr and Heppke¹³ (MCPnOB series). (Note that the molecular structure of series D is very similar to that of MCPnOB series given in Fig. 2.19.) Structurally the two are identical except that in MCPnOB series the core is made of a biphenyl group (no bridging group) and in series D there is a polar bridging

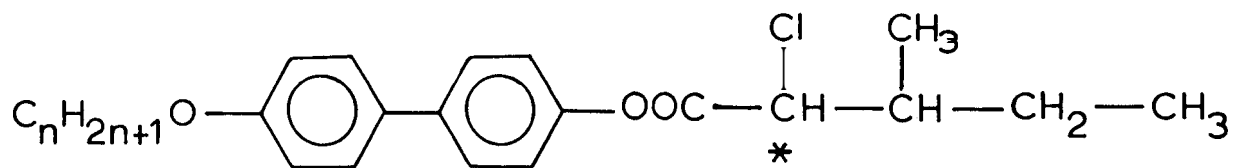


Fig.2.19. Molecular structure for MCPnOB Series.⁽¹³⁾

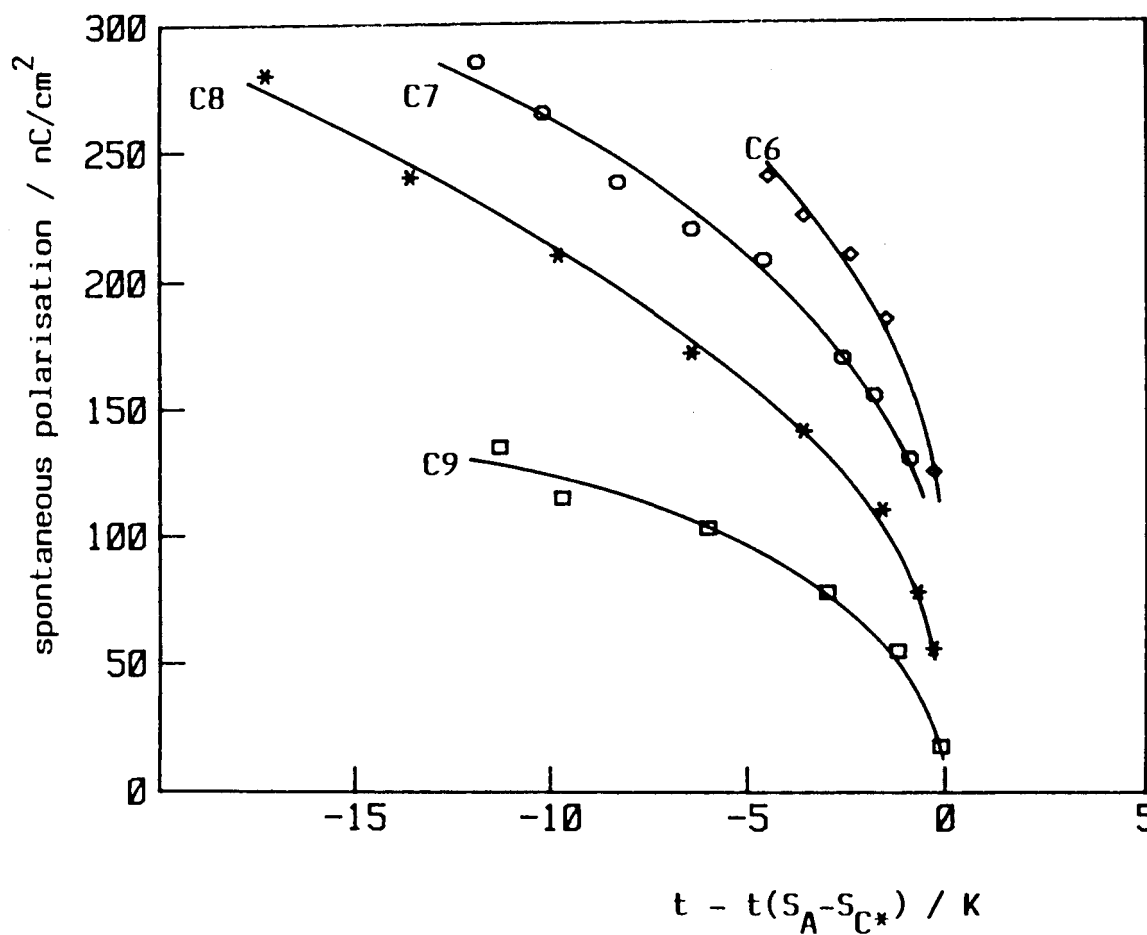


Fig.2.20. Temperature dependence of the spontaneous polarisation for the MCP-nOB Series.⁽¹³⁾

group CH=CHCOO between the phenyl rings. The P_s data of Balir and Heppke for the MCP_nOB series is reproduced in Fig. 2.20. Comparing this with series D (Fig. 2.10), we see that -

1. The introduction of a bridging group between the two phenyl rings, albeit a polar one, has brought down the P_s by a factor of two, e.g., the saturation value of P_s of series D for $n = 7$ is $\approx 1500 \mu C/m^2$ and for $n = 7$ of MCP_nOB series it is about $3000 \mu C/m^2$. The reason for which may be that -
 - i The COO group in the core tilts one phenyl group with respect to the other thus providing an increased degree of freedom of rotation for the chiral part about the rest of the molecule.
 - ii Though a polar group has been added, the increase in the length of the molecule due to the additional CH=CHCOO group has overcompensated the increase in the transverse dipole moment. As we see in our studies (Figs. 2.10, 2.12, 2.14 and 2.16) as well as in MCP_nOB (Fig. 2.20) increasing the length of the molecule usually brings down P_s .
2. In series D as well as in MCP_nOB both P_s and the rate of variation of P_s as a function of temperature, i.e., $\frac{dP_s}{dT}$ at T_c decrease as n increases. However in contrast to the MCP_nOB series (and also the lactic acid derivatives¹⁵), the range of the SmA phase decreases with increasing chain length (see Fig. 2.1a-d). This is somewhat surprising for the following reasons. High resolution specific heat²⁰ and tilt angle²² experiments have shown that one of the parameters controlling the nature of the A-C* transition is the temperature range of the A phase. In particular, it has been shown that the rate of variation of θ with temperature, $\frac{d\theta}{dT}$, increases with decreasing range of the A phase. Since

in the proximity of the transition, P_s can be taken to be linearly coupled to θ , $\frac{dP_s}{dT}$ should also behave the same, which is contrary to our experimental results.

2.5.3 Comparison of P_s data with the microscopic model

The thermodynamic model introduced by Pikin and Indenbom³⁸ and extensions of it proposed by the Ljubljana group^{39,40} and independently by Huang et al.,⁴¹ have been quite successful in explaining various experimental features of different physical properties of FLCs. But due to its phenomenological nature, the properties are not described in terms of microscopic parameters. With this in mind, Zeks et al.,^{16,17} proposed a theory based on single particle potentials. Here we briefly describe this microscopic model and some of the consequences of it. We also attempt to analyse the results obtained for series A,B,C and D in terms of the parameters of this model.

The theory is based on the assumption that the transverse molecular ordering is induced by the tilt of the molecules only and not due to the interaction between the dipoles of the molecules (experimental results substantiate such an assumption). The tilt biases the free rotations of the molecules about their long axis and induces ordering of the dipoles perpendicular to this axis. The degree of dipolar ordering depends on the angle of orientation ψ of the dipole in a plane perpendicular to the long molecular axis. The coordinates are chosen such that when $\psi = 0$ the dipole μ is perpendicular to the plane of the tilt and parallel to the direction of \mathbf{P}_s . A single particle potential $V(\psi)$ for the rotation of the molecule around its long axis can be expressed as

$$V(\psi) = -a_1\theta\cos\psi - a_2\theta^2\cos 2\psi \quad (2.2)$$

Here the first term is linear in θ and exists only for chiral molecules and is analogous to the bilinear coupling term (see Chapter III) in the phenomenological model. When the coefficient a_1 is positive the tilt tends to align the transverse dipoles in the direction $\psi = 0$ and for perfect order the first term would be equal to $-a_1\theta$. The second term is quadratic in θ and is not of chiral character and exists in all tilted systems, chiral or achiral. If $a_2 \neq 0$, this leads to a quadrupolar ordering in the direction perpendicular to the tilt and is analogous to the biquadratic coupling in the phenomenological model. The expected relation between the magnitudes of the two parts of the potential is $a_1\theta \ll a_2\theta^2$ except close to the transition, where θ is very small. Again this is true of bilinear and biquadratic terms. The thermal average of $\cos\psi$ at any temperature $T^\circ K$ is given by,

$$\langle \cos\psi \rangle = \frac{\int_0^{2\pi} \cos\psi \exp(-V/kT) d\psi}{\int_0^{2\pi} \exp(-V/kT) d\psi} \quad (2.3)$$

and can be used to calculate the value of P_s ,

$$P_s = \rho\mu \langle \cos\psi \rangle \quad (2.4)$$

where ρ is the particle density and μ the transverse dipole moment of the molecule. Using eqn. 2.3 and substituting for V , it has been shown that⁴² P_s can be written as

$$P_s = \rho\mu\theta a_1 \frac{2kT + a_2\theta^2}{4(kT)^2 + (a_1\theta)^2 + (a_2\theta^2)^2} \quad (2.5)$$

From eqn. 2.5 it is clear that knowing the temperature dependence of P_s and θ the coefficients a_1 and a_2 can be evaluated. In order to see the effect of molecular structure on the values of a_1 and a_2 we have calculated these parameters for a representative homologue ($n=10$) of each series. As such

a calculation needs the tilt angle data, we have measured θ by the X-ray diffraction method, described earlier. Figures 2.21 to 2.24 are the plots of θ vs. $(T_c - T)$ for the four compounds. It is seen from these figures that the tilt angle is almost same for all the four series suggesting that small structural changes may not alter the value of θ . Since the fitting to eqn. 2.5 needs θ and P_s at the same temperature and experimentally it was not feasible to achieve this, we made use of a functional description of the θ -data. It may be recalled here that extensive studies on the SmA-SmC* transition have shown that the tilt angle in the C* phase can be described by the expression given by an extended mean field model⁴³

$$\theta = R \left[\left(1 - \frac{3t}{t_o} \right)^{1/2} - 1 \right]^{1/2} \quad (2.6)$$

where $t = \frac{T - T_c}{T_c}$, R and t_o are parameters characterising the transition. Figs.2.21-2.24 also show the fit of the data to eqn. 2.6. The fitting is very good and therefore eqn. 2.6 can be used to calculate values of θ in eqn. 2.5.

Neglecting the θ^4 term, we can rewrite eqn. 2.5 as

$$P_s = A_1 \theta \frac{A_2 T + A_2 A_3 \theta^2}{T^2 + A_2^2 \theta^2} \quad (2.7)$$

where

$$A_1 = \rho\mu, \quad A_2 = \frac{a_1}{2K} \quad \text{and} \quad A_3 = \frac{a_2}{2K} \quad (2.8)$$

We have fitted our P_s data to eqn. 2.7 using a non-linear least square fit program based on the marquardt algorithm by floating the parameters A_1 , A_2 and A_3 for the four compounds. Figures 2.25-2.28 are the plots of P_s vs. $(T_c - T)$ for the four compounds. The solid lines are the fit to equation 2.7 and show that the fitting is quite good. The values of A_1 , A_2 and A_3 for the four compounds are given in table 2.6.

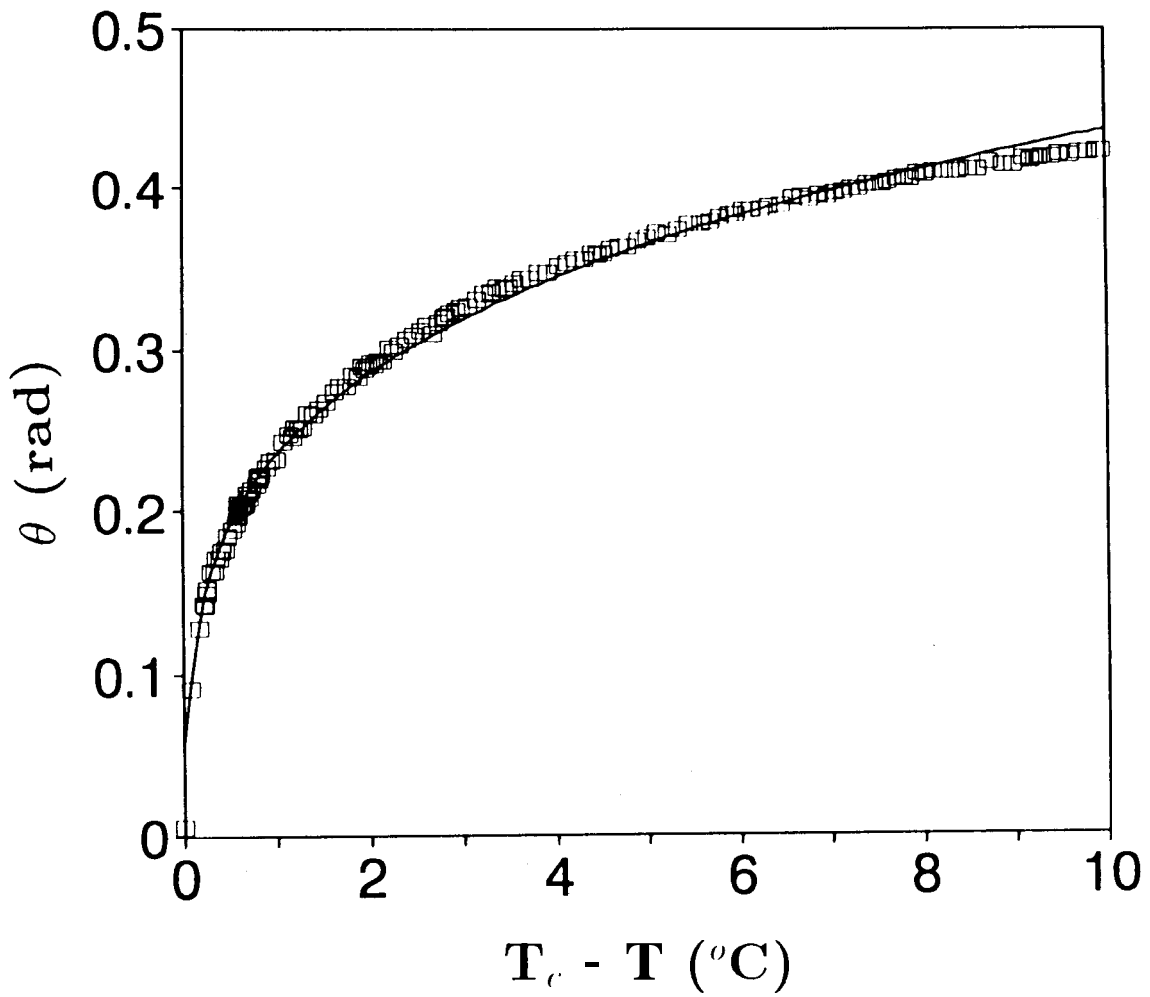


Fig.2.21. Thermal variation of tilt angle θ for A_{10} ; squares represent experimental data and the line fit to eqn. (2.6).

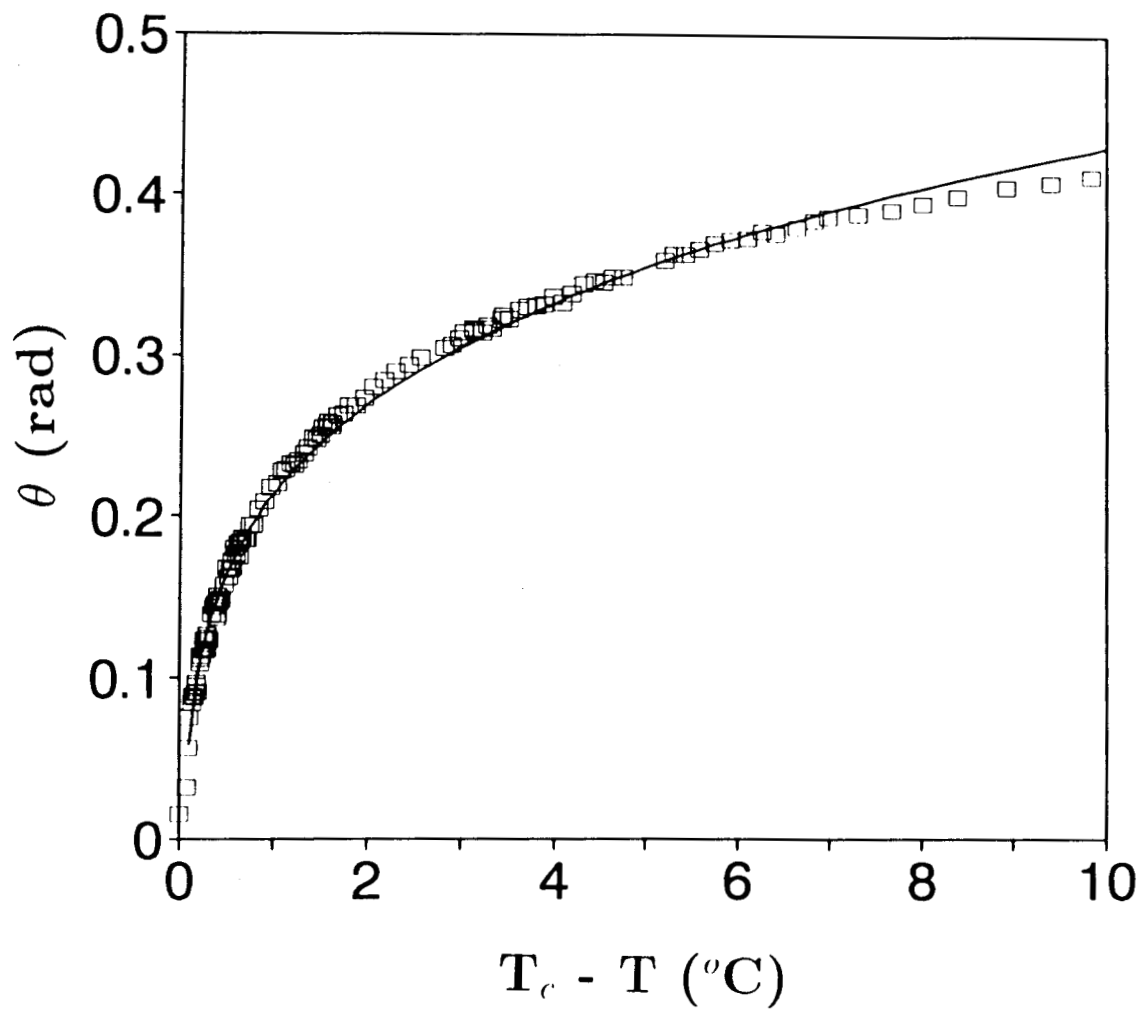


Fig.2.22. Thermal variation of tilt angle θ for B_{10} ; squares represent experimental data and the line fit to eqn. (2.6).

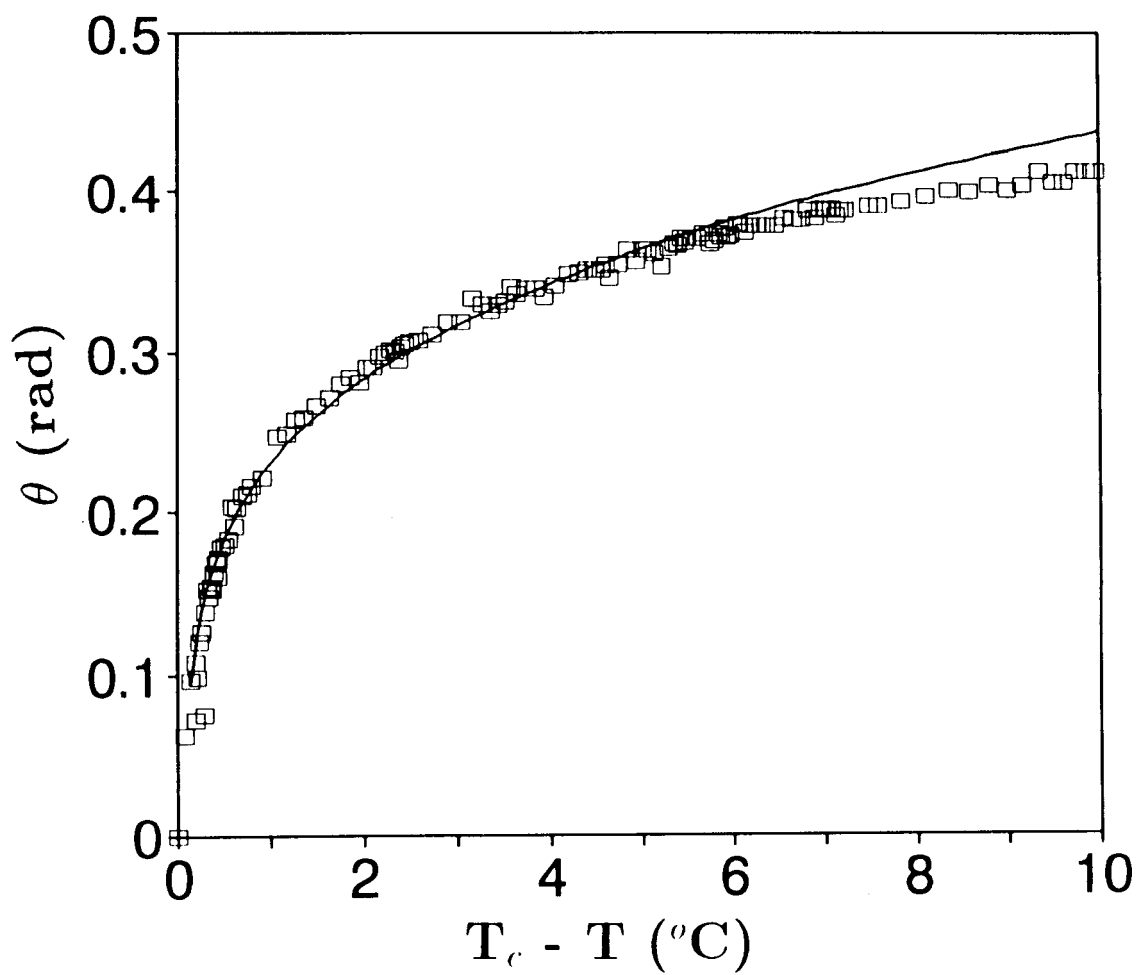


Fig.2.23. Thermal variation of tilt angle θ for C_{10} ; squares represent experimental data and the line fit to eqn. (2.6).

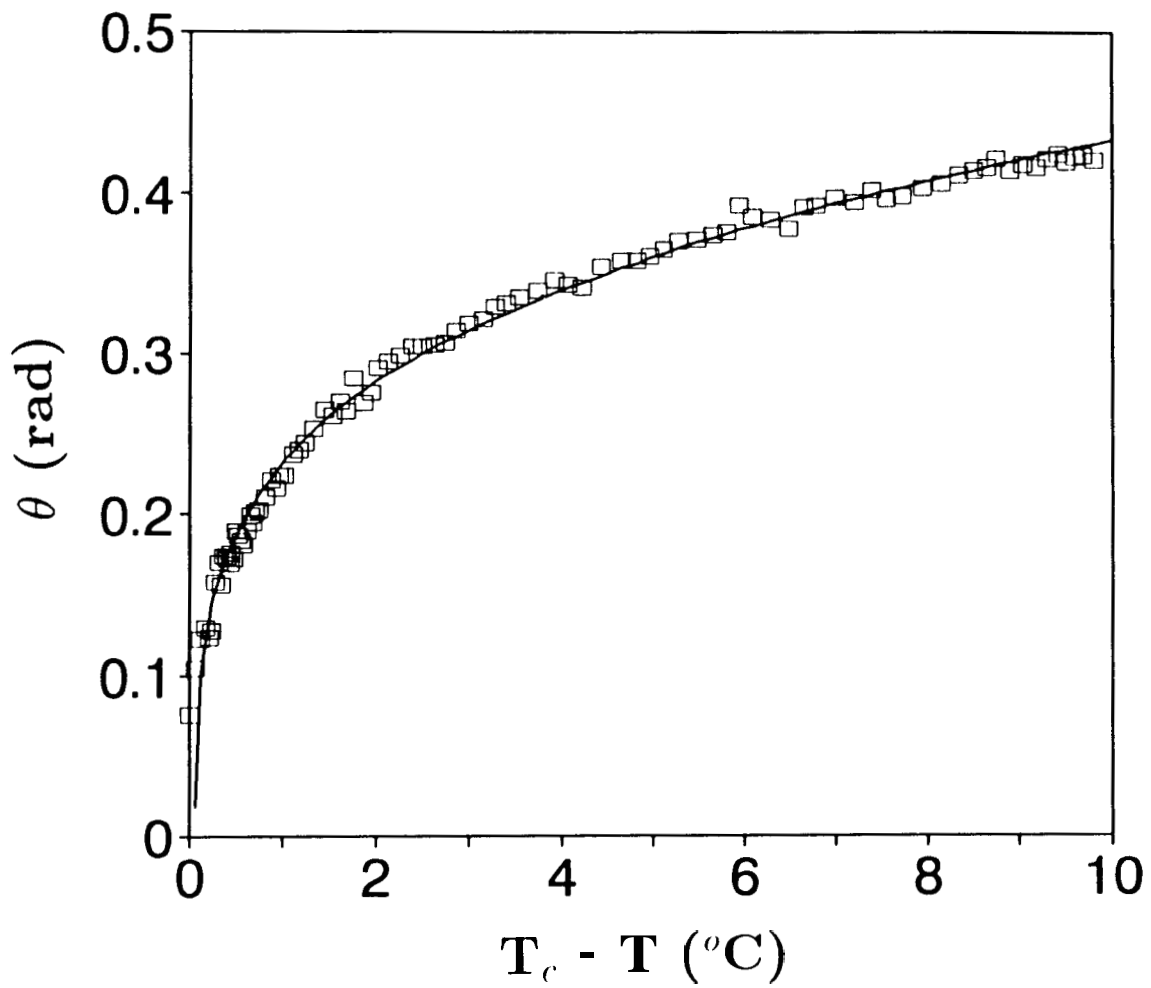


Fig.2.24. Thermal variation of tilt angle θ for D_{10} ; squares represent experimental data and the line fit to eqn. (2.6).

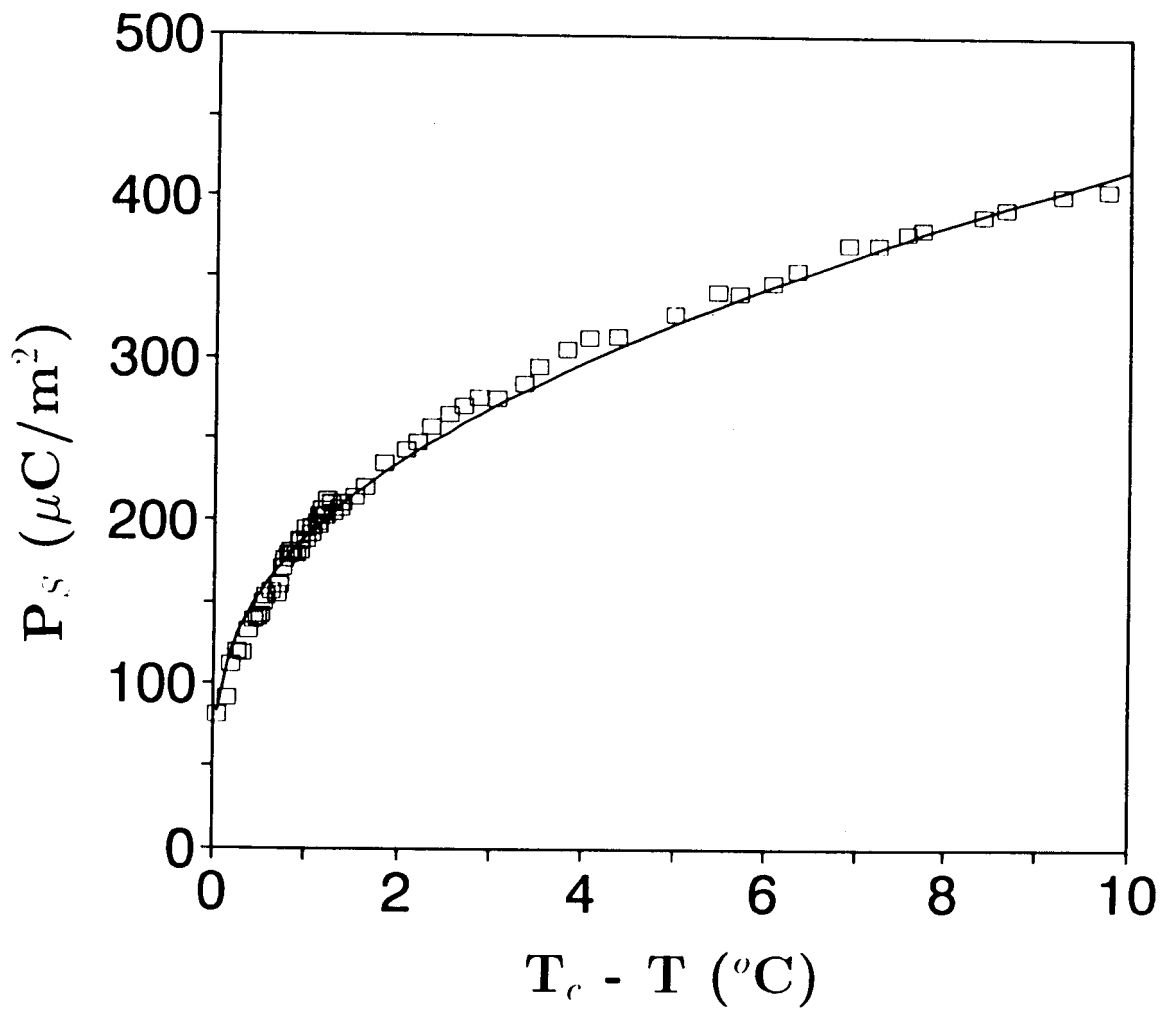


Fig.2.25. Fit of the P_s data to eq. (2.7) given by the microscopic model for A_{10} ; squares represent data and the line the fit.

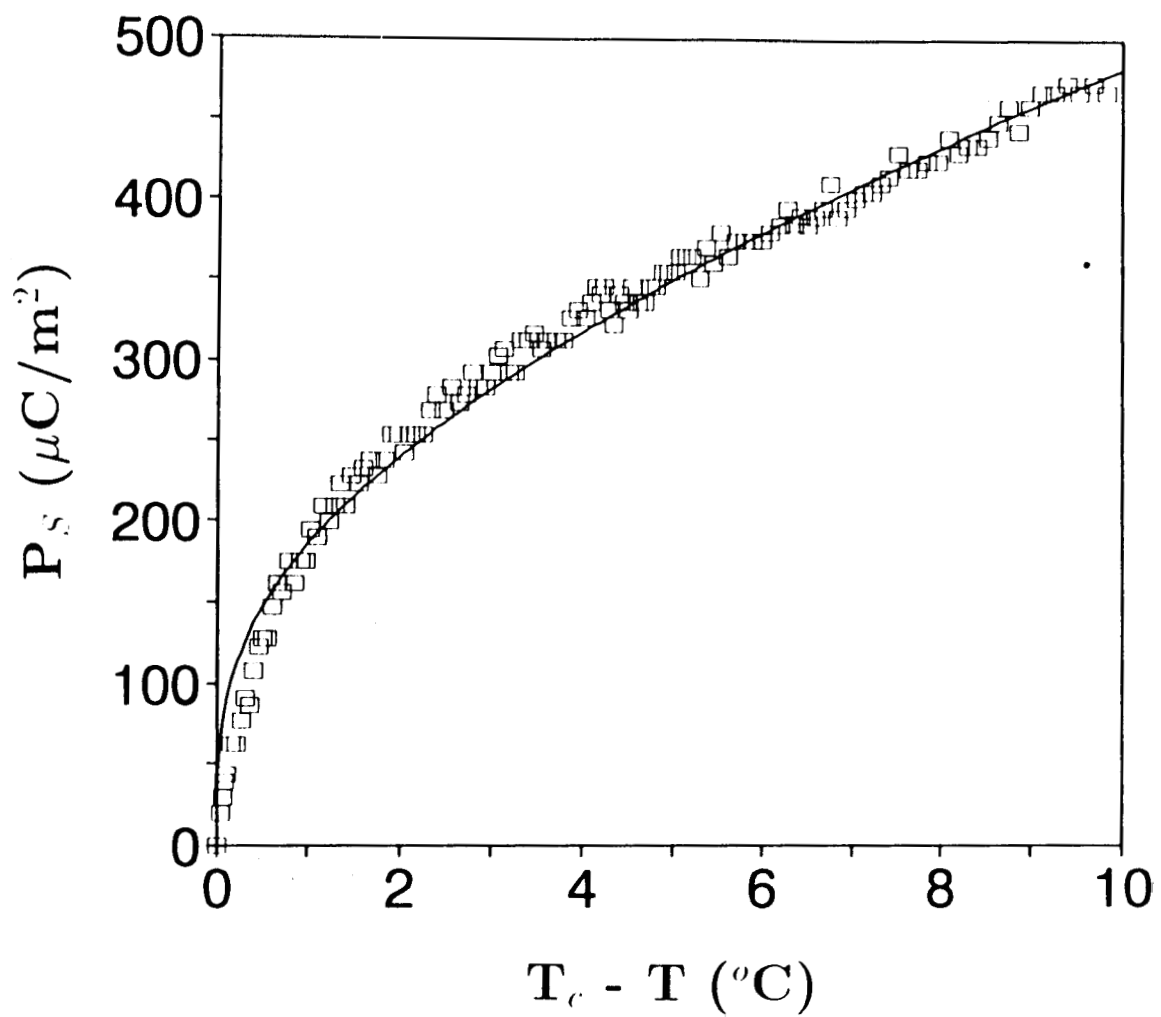


Fig.2.26. Fit of the P_s data to eq. (2.7) given by the microscopic model for B_{10} ; squares represent data and the line the fit.

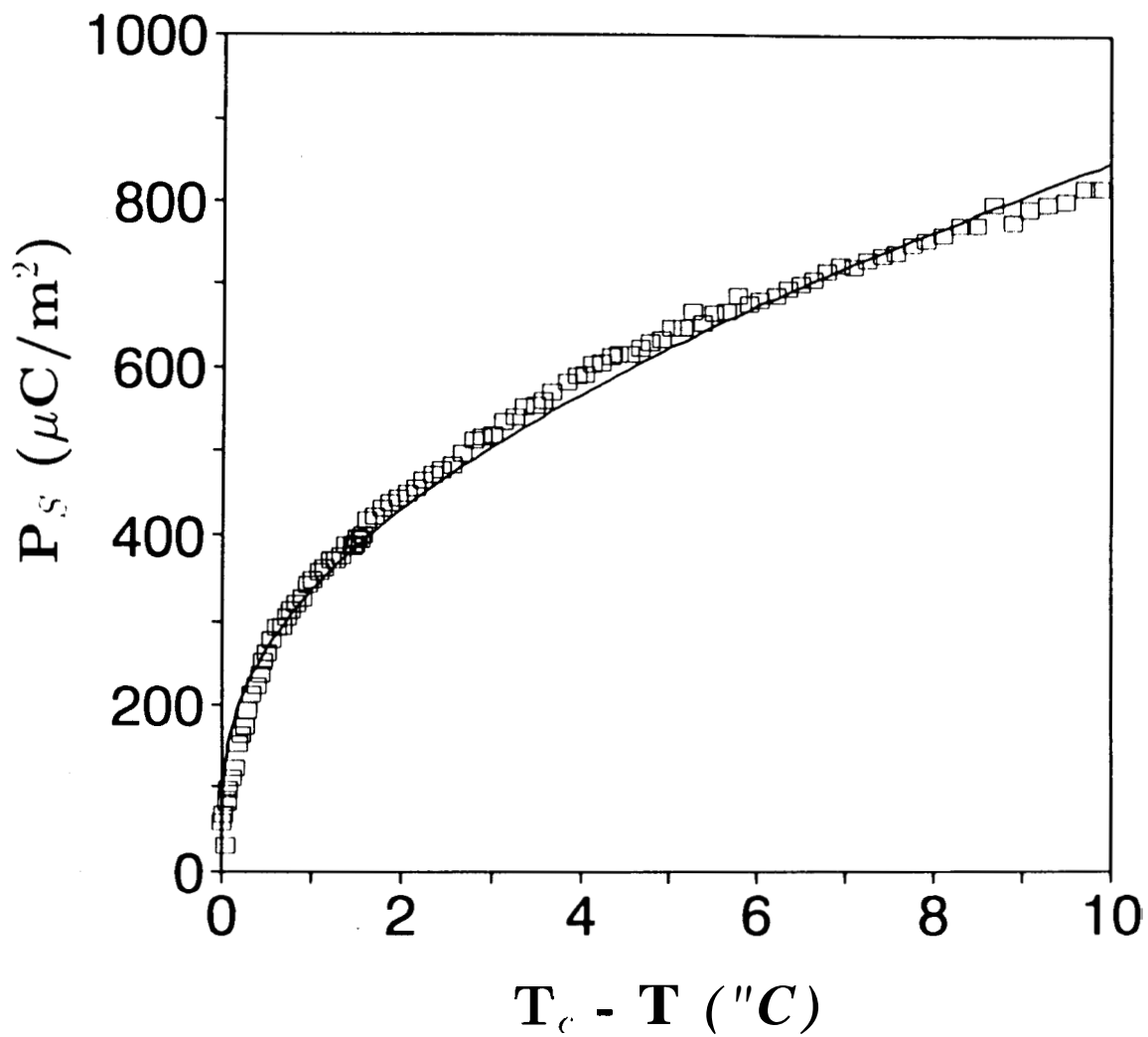


Fig.2.27. Fit of the P_s data to eq. (2.7) given by the microscopic model for C_{10} ; squares represent data and the line the fit.

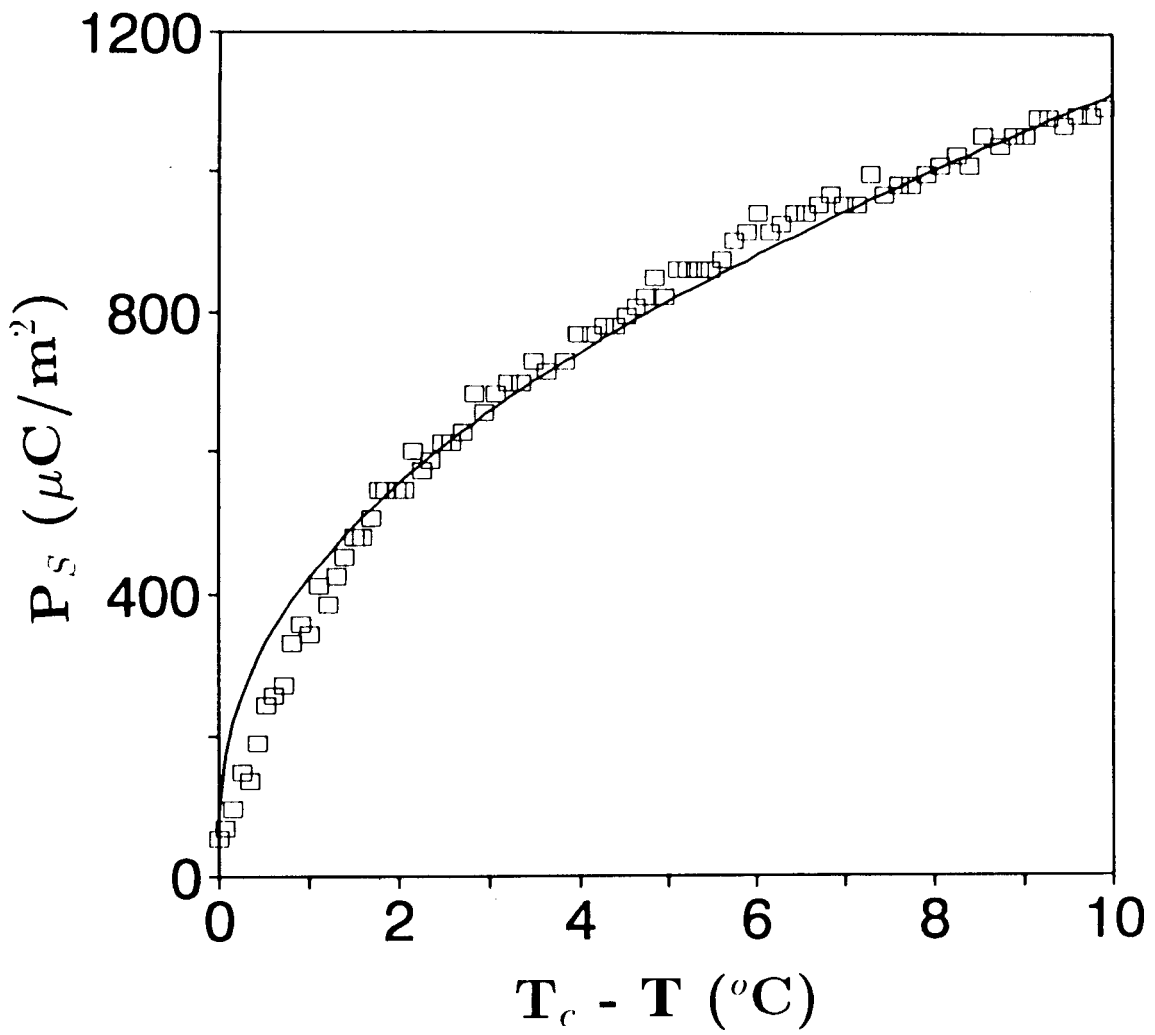


Fig.2.28. Fit of the P_s data to eq. (2.7) given by the microscopic model for D_{10} ; squares represent data and the line the fit.

Table 2.6

Values of coefficients obtained from fit to microscopic model

Compound	A_1 ($\mu\text{C}/\text{m}^2$)	A_2 (J/k)	A_3 (J/k)	a_1 (J)	a_2 (J)	$\tilde{C} = a_1/A_1$ (Vm^2)	a_1/a_2
A 10	263	217.79	842.88	6.01E-21	2.33E-20	2.29E-17	0.258
B 10	258	216.65	955.57	5.98E-21	2.64E-20	2.31E-17	0.226
C 10	315	277.10	2462.5	7.65E-21	6.8E-20	2.43E-17	0.112
D 10	326	321.95	3125.52	8.89E-21	8.63E-20	2.73E-17	0.103

It is known⁴⁴ that close to the transition, the dominant coupling term between P_s and θ is the bilinear coupling coefficient C , which can be taken as a measure of P_s for any given compound, although away from the transition, the biquadratic contribution is quite large. In terms of the parameters obtained from eqn. 2.7, the coefficient C can be written as¹⁶

$$C = \frac{a_1}{\mu}$$

Using eqn.2.8

$$C = \frac{a_1}{\mu} = \frac{a_1}{A_1/\rho} = \frac{\rho a_1}{A_1} \quad (2.9)$$

Since ρ , the number density is not expected to vary drastically between the different series (A,B,C and D) of compounds, it can be treated as a constant and one can write,

$$\tilde{C} = \frac{C}{\rho} = a_1/A_1 \quad (2.10)$$

Table 2.6 also gives the values of \tilde{C} calculated for the 10th homologue of series A,B,C and D. It is observed that \tilde{C} is lowest for series A and highest for series D. More explicitly \tilde{C} for series A < series B < series C < series D. Quite interestingly, this is the way the P_s also varies between different series.

According to the theory,^{16,17} close to the transition, the dipolar term dominates the potential while away from it the quadrupolar term takes over. This behaviour is in fact seen in the experimental data also. Listed in table 2.6 are the ratios of quadrupolar to dipolar terms ($= \frac{a_2\theta}{a_1}$) for the 10th homologues of the four series. Such a feature has been observed by Buka et al.,⁴² also.

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