Liquid crystals of some compounds composed of bent-core molecules: synthesis and characterization

By

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Thesis submitted to the Jawaharlal Nehru University for the award of the degree of

Doctor of Philosophy



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CERTIFICATE

This is to certify that the thesis entitled "Liquid crystals of some compounds composed of bentcore molecules: synthesis and characterization" submitted by Umadevi S. for the award of the degree of DOCTOR OF PHILOSOPHY of the Jawaharlal Nehru University is her original work. This has not been published or submitted to any other University for any other degree or diploma.

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DECLARATION

I hereby declare that the work reported in this thesis is entirely original. This thesis is composed independently by me at the Raman Research Institute under the supervision of Prof. B. K. Sadashiva. I further declare that the subject matter presented in this thesis has not previously formed the basis for the award of any degree, diploma, membership, associateship, fellowship or any other similar title of any university or institution.

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List of symbols and abbreviations used in the thesis

Cr	crystalline phase				
SmA	smectic A phase				
SmB	smectic B phase				
SmC	smectic C phase				
SmC^*	chiral smectic C phase				
SmC _a *	chiral anticlinic smectic C phase				
TGB _A	twist grain boundary smectic A phase				
Ν	nematic phase				
I	isotropic phase				
B_1	columnar phase with a rectangular lattice				
B_2	tilted layered phase with polar properties				
B_{2x}, B_{2}', B_{2}''	, B_2'' variants of a B_2 phase				
B ₃	soft crystalline or hexatic mesophase				
B_4	soft crystalline mesophase with supramolecular chirality				
B ₅	smectic monolayer phase with in-plane order				
B _{5A}	antiferroelectric B ₅ phase				
B _{5F}	ferroelectric B ₅ phase				
B_6	intercalated smectic B-phase without in-plane order				
B ₇	non-switchable mesophase with a two-dimensional lattice				
B _{7AF1}	novel antiferroelectric B7 sub-phase 1				
B _{7AF2}	novel antiferroelectric B7 sub-phase 2				
B _{7bis}	ferroelectric B ₇ phase				
B ₇ ′	variant of a switchable B7 phase with a layered structure				
B _{7FE}	a mesophase with a two-dimensional lattice showing ferroelectric switching				
	behaviour				
B _{7X}	non-switchable mesophase with a two-dimensional lattice				
B_8	smectic bilayer phase with double tilted molecules				
Col_{r}	columnar phase with a rectangular lattice				
Col _r P _{AF}	columnar polar phase with a rectangular lattice and exhibiting antiferroelectric				

properties

$Col_{ob}P_{F}$	columnar polar phase with an oblique lattice showing ferroelectric characteristics			
SmCP _A	smectic C phase with antiferroelectric properties			
SmC _s P _A	synclinic smectic C - polar antiferroelectric phase			
SmC _s P _F	synclinic smectic C - polar ferroelectric phase			
SmC _a P _A	anticlinic smectic C - polar antiferroelectric phase			
SmC _a P _F	anticlinic smectic C - polar ferroelectric phase			
SmC _G	smectic phase with a triclinic symmetry, G-general			
•	phase exists			
_	phase does not exist			
()	monotropic transition			
*	compound has a crystal-crystal transition and enthalpy is the sum of all such			
	transitions			
\$	the phase transition was clearly observed only on cooling			
\bigstar compound exhibits a transition from $Col_r P_{AF}$ to B_{2x} phase upon cooling				
	isotropic phase and enthalpy denoted is the sum of both the transitions.			
#	compound exhibits a monotropic transition, and the mesophase could not			
	be characterized as the sample crystallizes rapidly.			
+ melting point was determined under a polarizing light microscope and en				
	could not be determined.			
+ +	enthalpy could not be measured from DSC as the sample crystallizes immediately			
*	the layer spacing is temperature independent			
n	normal			
IR	infrared			
NMR	nuclear magnetic resonance			
ppm	parts per million			
S	singlet			
d	doublet			
dd	doublet of doublet			
t	triplet			
q	quartet			

quin	quintet		
m	multiplet		
TLC	thin layer chromatography		
DSC	differential scanning calorimeter		
TMS	tetramethylsilane		
CDCl ₃	deuteriochloroform		
CD ₃ COCD ₃	deuterioacetone		
DMSO-d ₆	deuteriodimethyl sulfoxide		
D_2O	deuterium oxide		
DCC	N, N ⁻ dicyclohexylcarbodiimide		
DMAP	4-(N, N-dimethylamino)pyridine		
MHPOBC	$\label{eq:constraint} 4-(1-methylheptyloxycarbonyl) phenyl-4-n-octyloxybiphenyl-4-carboxylate$		
XRD	X-ray diffraction		
ITO	indium tin oxide		

CONTENTS

Preface	
Chapter 1	
Introduction	1
Chapter 2	
Synthesis and mesomorphic properties of	34
5-Chloro-1, 3-phenylene bis [4-(4- <i>n</i> -alkylbiphenyl-4'-carbonyloxy)-2-fluoro-	
benzoates]	
5-Chloro-1, 3-phenylene bis [4-(4- <i>n</i> -alkylbiphenyl-4'-carbonyloxy)-3-fluoro-	
benzoates]	

(i)

(ii)

(i)

Chapter 3

Synthesis and mesomorphic properties of	70
5-Methoxycarbonyl-1, 3-phenylene bis [4-(4- <i>n</i> -alkylbiphenyl-4'-carbonyloxy)-	
benzoates]	
5-Methoxycarbonyl-1 3-phenylene bis [4-(4- <i>n</i> -alkylbiphenyl-4'-carbonyloxy)-2-	_

- (ii) 5-Methoxycarbonyl-1, 3-phenylene bis [4-(4-*n*-alkylbiphenyl-4'-carbonyloxy)-2-fluorobenzoates]
- (iii) 5-Methoxycarbonyl-1, 3-phenylene bis [4-(4-*n*-alkylbiphenyl-4'-carbonyloxy)-3-fluorobenzoates]

Chapter 4

Synthesis and mesomorphic properties of 107

Part I

(i) 1, 3-Phenylene bis [4-(4-*n*-alkyloxycarbonylphenyliminomethyl)benzoates]

Part II

(ii) 1, 3-phenylene bis [4-(4-*n*-alkyloxycarbonylbenzylideneamino)benzoates]

Chapter 5

Synthesis and mesomorphic properties of 166

Part I

(i) 2-Cyano-1, 3-phenylene bis [4-(4-*n*-alkyloxycarbonylphenyliminomethyl)benzoates]

(ii) 2-Nitro-1, 3-phenylene bis [4-(4-*n*-alkyloxycarbonylphenyliminomethyl)benzoates]

Part II

(iii) 2-Cyano-1, 3-phenylene bis [4-(4-*n*-alkyloxycarbonylbenzylideneamino)benzoates]

(iv) 2-Nitro-1, 3-phenylene bis [4-(4-*n*-alkyloxycarbonylbenzylideneamino)benzoates]

Chapter 6

Synthesis and	mesomorphic	properties of	209
---------------	-------------	---------------	-----

- (i) 5-Methoxy bis [4-(*n*-alkoxyphenyloxycarbonyl)phenyl]isophthalates,(compounds 6.A.1-6.A.8)
- (ii) 5-Methoxy bis [4-(*n*-octadecyloxyphenyloxycarbonyl)-3-fluorophenyl]isophthalate, (compound 6.A.9)
- (iii) 5-Methoxy bis [4-(*n*-octadecyloxyphenyloxycarbonyl)-2-fluorophenyl]isophthalate, (compound 6.A.10)
- (iv) 5-Methoxy bis [4-(*n*-alkyloxycarbonylphenyloxycarbonyl)phenyl]isophthalates,(compounds 6.B.1-6.B.3)
- (v) 5-Methoxy bis [4-(*n*-alkylcarbonyloxyphenyloxycarbonyl)phenyl]isophthalates,(compounds 6.C.1-6.C.5)

Chapter 7

Synthesis and mesomorphic properties of

246

Symmetric mesogenic dimers composed of bent-core molecules connected by an alkylene spacer

PREFACE

Liquid crystals are condensed phases, which possess some properties of a crystalline solid as well as an isotropic liquid. These intermediate phases are also known as mesophases and a compound which exhibits such a phase is called a mesogen. Liquid crystals may be broadly classified into two types: (i) Thermotropic liquid crystals –which are obtained by the action of heat on certain pure organic compounds or their mixtures and (ii) Lyotropic liquid crystals – which are formed by the action of a solvent on suitable substrates. Thermotropic liquid crystals may be further divided into: (i) Calamitic liquid crystals (ii) Discotic liquid crystals and (iii) Banana-shaped liquid crystals, depending on the shape of the constituent molecules. The investigations carried out and described in this thesis are low molar mass thermotropic liquid crystalline compounds composed of banana-shaped or bent-core molecules.

Ferroelectricity in liquid crystals was discovered by Meyer *et al.* [1] in 1974, who postulated and proved the polar ordering in chiral smectics. In such materials, the system escapes from macroscopic polarization by the formation of a helix. This helix can be unwound by the application of any external electric field. In 1989, Chandani *et al.* [2] discovered an antiferroelectric smectic phase in a chiral compound namely, 4-(1-methylheptyloxy-carbonyl)phenyl-4'-octyloxybiphenyl-4-carboxylate (MHPOBC). In antiferroelectric phases, the molecules in the successive layers tilt in opposite directions, so that the dipole moments cancel out within two adjacent layers. These tilt directions precess around the smectic layer normal which results in a helical structure. Around the same time, Goodby *et al.* [3] discovered a theoretically predicted defect stabilized intermediate phase, namely twist grain boundary smectic A (TGB_A) phase in a variety of optically active phenyl propiolates. In 1977, Chandrasekhar *et al.* [4] discovered liquid crystalline behaviour in a new class of compounds composed of disc-like molecules. The disc-shaped molecules are found to stack one above the other forming columnar mesophases. All these discoveries have stimulated the search for new materials and new mesophases.

In 1930's, Vorlander and Apel reported [5] a few compounds with non-linear molecular structure and exhibiting mesomorphic properties. However, they could not characterize the mesophases. In 1991, Kuboshita *et al.* [6] investigated in detail the mesomorphic properties of

such compounds, whose constituent molecules are non-linear in shape. They synthesized compounds derived from 1,2-dihydroxybenzene and also the corresponding 3- and 4-methyl-1,2-phenylene derivatives. The mesophases obtained were characterized as nematic, smectic A and smectic B. In 1993, Matsuzaki and Matsunaga reported [7] the 2,3-naphthalene analogues. Akutagawa *et al.* [8] extended this work and carried out the synthesis and studied the mesomorphic properties of achiral non-linear materials derived from resorcinol. On the basis of microscopic observations, X-ray powder diffraction measurements and miscibility studies, the mesophases shown by these compounds were identified as N and SmC. Electro-optical switching measurements were not carried out for these materials simply because the mesophases obtained were from achiral molecules.

Until 1996, ferro- and / or antiferro-electricity in liquid crystal phases were believed to be observed only in compounds composed of chiral molecules. A real breakthrough in liquid crystals came in 1996, when Niori et al. reported [9] ferroelectricity in a smectic phase formed [4-(4-*n*by achiral banana-shaped compound, viz. 1,3-phenylene bis octylphenyliminomethyl)benzoate]. Since then, banana-shaped mesogens, whose constituent molecules have a bent-core (BC) structure, have become a subject of intense investigations. The ferroelectricity observed in the mesophases of these compounds is ascribed to the C_{2v} symmetry resulting from the packing of banana-shaped molecules into layers. Because of the intrinsic shape, these molecules adopt dense packing in the layers and are all aligned in the bend direction, which restrict the rotation around the long molecular axis and induces a layer polarization along the bend direction. This in-layer-induced polarization can be reversed by the application of any external electric field. However, in 1997, Link et al. [10] demonstrated that the ground state structure of the smectic phase of compound 1,3-phenylene bis [4-(4-noctylphenyliminomethyl)benzoate] is actually antiferroelectric and not ferroelectric as reported [9] earlier. Further, they found that, the molecules are tilted with respect to the layer normal in a plane normal to the polarization direction. The combination of polar order and tilt order gives the layer a chiral structure, although the molecules are achiral. The mesophases obtained from BC compounds are not completely miscible with any of the known mesophases of classical liquid crystals. Hence, in an International Workshop on "Banana-Shaped Liquid Crystals: Chirality by Achiral Molecules" held in Berlin in 1997, it was suggested to use the general symbol "B" for the mesophases exhibited by banana-shaped compounds. A total of seven phases were identified

initially and assigned the symbol B_1, B_2, \dots, B_7 [11]. Another phase was discovered later which was designated as B_8 [12]. The letter B signifies the shape of the constituent molecules, viz. banana, bent-core, bow, etc. and the suffix indicates the sequence of discovery of the mesophases.

This thesis contains seven chapters and the first chapter gives a brief description of the discovery and various other aspects of the mesophases of bent-core compounds. In the remaining six chapters the synthesis and mesomorphic properties of some novel bent-core compounds investigated by the author have been described. The important results obtained and presented in this thesis include the observation of a transition from $\text{Col}_r\text{P}_{AF}$ phase to a B_{2x} phase, observation of a bistable linear electro-optical switching in the B_7 phase, observation of an odd-even effect in the nanoscopic director organization in the B_2 phase as a function of the number of carbon atoms in the terminal chain, observation of a transition from the electro-optically non-switchable to a switchable B_7 phase, observation of SmCP_A phase in five-ring bent-core compounds derived from 5-methoxyisophthalic acid and the first observation of a Col_{ob}P_F phase in mesogenic dimers composed of bent-core molecules with a flexible alkylene spacer.

In Chapter 1, a brief introduction to the discovery of bent-core mesogens and a detailed description of the well-known B-phases reported in the literature, structural models for some of the B-phases and the origin of chirality including the occurrence of ferro-/antiferro-electric mesophases from achiral molecules [10,11, 13-16] are given. In addition, a brief description of the structure-property relationships in bent-core compounds and the effect of lateral substituents on the mesophases are also included. A brief introduction to the mesogenic dimers composed of BC units is also provided.

In Chapter 2, the synthesis and mesomorphic properties of two homologous series of compounds derived from 5-chlororesorcinol are described. The general molecular structure is shown below



The lateral substituents strongly influence the mesomorphic properties of the BC mesogens either by changing the packing of the molecules or by influencing the polar properties of the molecules. There are numerous studies on the effect of lateral substituents at different positions on the central phenyl ring as well as outer phenyl rings. These studies indicate that dipolar effects are more prominent than steric effects in determining the mesophase behaviour. However, the effect of a substituent at position 5 of the central phenyl ring is less studied. Until now, there is only one report [17] of seven-ring BC mesogens containing a substituent at the apex of the central phenyl ring. These are symmetrical ester compounds derived from 5-cyanoresorcinol which exhibit interesting mesomorphic properties. In order to examine the effect of replacing the strongly polar cyano substituent (whose vector of the dipole moment is along the arrow axis of the BC molecule) by a chloro group with a weaker dipolar component, but whose contribution is along the same axis, two series of symmetrical bent-core compounds derived from 5-chlororesorcinol have been synthesized and their mesomorphic behaviour investigated.

The homologues of series 2.A contain a fluoro substituent *ortho* to the ester carbonyl group connected to the central phenyl ring whereas those of series 2.B contain fluorine at *meta* position. The lower homologues of both the series of compounds exhibit a columnar B_1 phase with a rectangular lattice. Interestingly, the B_{2x} phase of higher homologues show helical patterns and exhibit a mixture of three different possible structures, two of which are chiral and the remaining one is racemic. A comparison of mesomorphic properties of these two series of compounds clearly reveals that *m*-fluoro substituted compounds (series 2.B) are better in generating mesophases. Moreover, both the melting and clearing temperatures are lower for these compounds. A comparison of the mesomorphic properties of series 2.A and 2.B, with the analogous compounds derived from 5-cyanoresorcinol indicate that, replacement of cyano group by a chloro group results in reduction of melting and clearing temperatures. However, the type of the mesophases observed is unaffected.

Chapter 3 begins with a brief introduction to the effect of lateral substituents on the mesomorphic behaviour of bent-core compounds. In this chapter, synthesis and mesomorphic properties of three homologous series of compounds derived from methyl 3,5-dihydroxybenzoate have been described. The general structure of the compounds investigated is shown below.

iv



The compounds of series **3.A** do not contain any lateral substituent in the arms of the bent-core whereas compounds of series **3.B** and **3.C** contain a fluoro substituent in *ortho* and *meta* positions respectively, with respect to the ester carbonyl group of the middle phenyl ring. Three types of mesophases were observed in these three homologous series of compounds. The lower homologues show a B₆ mesophase, middle homologues exhibit a Col_rP_{AF} phase and the higher homologues exhibit a B_{2x} phase. The substitution by fluorine results in a reduction of melting points as well as clearing temperatures. This effect is more pronounced in *meta* substituted compounds and a wider temperature range for the mesophases is observed. Interestingly, in one of the homologues (**3.B.7**) of series **3.B** a transition from Col_rP_{AF} to a B_{2x} phase occurs, which is the first observation of a transition from a switchable columnar phase to a switchable lamellar phase. The evidence for the occurrence of this transition is given by textural change, DSC thermogram and electro-optical studies. The compounds with fluoro substituent *meta* to the ester carbonyl group connecting the central phenyl ring show Col_rP_{AF} phase even for fairly long terminal chains (*n*=14).

Electro-optical investigations indicate a polarization value of around 500 nC cm⁻² for Col_rP_{AF} phase (compound **3.B.7**) and a very high polarization value of 1130 nC cm⁻² (compound **3.B.9**) for the B_{2x} phase. The dc field experiments indicate a homochiral antiferroelectric structure for the B_{2x} phase.

A comparison of the mesomorphic behaviour of the compounds of series **3.B** and **3.C** with the analogous compounds derived from 5-chlororesorcinol (series **2.A** and **2.B**, described in

Chapter 2) indicates the profound influence of the polar nature of the substituent on the mesomorphic behaviour of BC compounds. Replacement of a chloro group by a bulky methoxy carbonyl group at the apex of the central phenyl ring results in a antiferroelectrically switchable columnar phase. Further, both melting points and clearing temperatures are lowered substantially.

Chapter 4 begins with a brief introduction to SmC_G phase [18]. This Chapter contains two parts. In the first part, the synthesis and mesomorphic properties of a homologous series of eleven five-ring symmetrical bent-core compounds, in which the terminal *n*-alkoxy linkages of the original bent-core mesogen forming a B₂ phase [10] are replaced by *n*-alkyl carboxylate groups, are described. This small difference induces significant changes in the phase behaviour. The general structure of the compounds investigated is shown below.



The lower homologues (n=6-11) of the series show B₂ structures with an interesting oddeven effect concerning their local director tilt and polar arrangements. The odd-even effects were also observed in steady dielectric susceptibility and the value of the threshold voltage for polarization switching. This odd-even effect probably is due to steric (entropic) reasons, which are not suppressed by the relatively low polar interactions. Three of the middle homologues (n=12-14) exhibit two mesophases. The higher temperature phase exhibits helical structures as well as other beautiful textures that are normally seen for a B₇ phase. This phase shows a bistable but linear electro-optical switching without any observable polarization peak. The mesophase has been designated as a B₇' phase. On cooling, the mesophase shows a transition to the chiral antiferroelectric smectic phase at a lower temperature, which is designated as B₂'. Two theoretical models are analyzed for the B₇' phase and it is shown that a local triclinic symmetry may be responsible for the unusual electro-optical switching. The higher homologues (n=16, 18) exhibit only a B₇' phase.

In the second part, the mesomorphic behaviour of a homologous series of compounds is investigated in which the orientation of the azomethine linkage group in compounds discussed above is reversed. The general molecular structure of the compounds is shown below.



In this series, only six compounds were prepared and the lower homologues were not prepared because compound with *n*-decyl chain did not show any mesophase. The other five homologues exhibit a metastable mesophase whose optical textures are all similar. The phase exhibits chiral domains of opposite handedness on slow cooling from the isotropic phase. This has been identified as a $SmCP_A$ phase.

It should be pointed out here that the compounds of series **4.B** differ from those of series **4.A** only by the way in which the azomethine linking group is attached. The present study emphasizes the remarkable influence of the orientation of the linking group on the mesomorphic behaviour.

In Chapter 5, a brief introduction to the compounds exhibiting B_7 phase and the proposed structural models for this phase is given. Among all the mesophases exhibited by BC compounds, the B_7 phase exhibits the most beautiful and fascinating textures. A characteristic feature of the XRD pattern of this phase is the presence of a medium angle reflection in addition to several small angle reflections besides a diffuse wide-angle reflection [19]. In this chapter, the synthesis and liquid crystalline properties of four new homologous series of symmetrical five-ring bent-core compounds derived from 2-cyano- or 2-nitro-resorcinol having terminal *n*-alkyl carboxylate groups are described.

In the first part, compounds with general molecular structure shown below are investigated.



All these compounds exhibit a B_7 phase, which shows ferroelectric switching characteristics. In addition, homologues of 2-cyano- substituted compounds display a transition from a switchable (B_{7FE}) phase to a non-switchable (B_{7X}) mesophase as the temperature is lowered. This is the first observation of dimorphism in BC compounds in which the higher temperature phase (B_{7FE}) is electro-optically switchable and the lower temperature phase (B_{7X}) is non-switchable, and the compounds are derived from 2-cyanoresorcinol. In addition, the B_7 phase observed in compounds derived from 2-nitroresorcinol is monomorphic and responds to an applied electric field. This B_7 phase and the higher temperature phase of the analogous cyano substituted compounds are found to be the same.

In the second part, the synthesis and mesomorphic properties of two homologous series of compounds having the general molecular structure shown below are described. The compounds of



series **5.C** and **5.D** differ from the compounds of series **5.A** and **5.B** only in the orientation of the azomethine linking group. All the compounds of series **5.C** and **5.D** exhibit a non-switchable B_7 mesophase. Interestingly, the lower homologues of series **5.D** derived from 2-nitroresorcinol exhibit a texture which consists of chiral domains of opposite handedness. The mesophases exhibited by all these compounds show the medium angle reflection in the XRD pattern displayed by the original B_7 materials [19].

The influence of the orientation of linking groups on the phase behaviour of compounds derived from 2-cyano- and 2-nitro-resorcinol is examined by comparing the mesomorphic properties of compounds of series **5.A**, **5.B** with those of series **5.C** and **5.D**. Similarly, the influence of the type of terminal chain is also studied by comparing the mesomorphic properties of compounds of series **5.A** and **5.B** with the analogous compounds containing terminal *n*-alkoxy chains. The results are shown as bar diagrams.

In Chapter 6, the synthesis and mesomorphic properties of three series of compounds derived from 5-methoxyisophthalic acid are described. The compounds investigated have the general structure shown below.



The studies on compounds derived from isophthalic acid are very limited, although they exhibit interesting phases and phase sequences. Nguyen *et al.* reported [20] several five-ring bent-core compounds derived from isophthalic acid and containing lateral substituents at positions 2 and 6 (positions shown in structure **6.1**) of the central ring and exhibiting interesting mesophases. However, so far there has been no report of a five-ring bent-core compound

containing a bulky substituent at position 5 and exhibiting a mesophase. The compounds presented in this chapter represent the first series of five-ring BC compounds containing a bulky substituent. These compounds are derived from 5-methoxyisophthalic acid and many of them exhibit a electro-optically switchable phase.

All the three series of compounds have similar molecular structure but differ in the way the terminal linking groups are attached. Compounds of series **6.A** with terminal *n*-alkoxy chain exhibit a polar smectic C phase with antiferroelectric properties (SmCP_A). The replacement of terminal *n*-alkoxy chains by *n*-alkyl carboxylate groups resulted in elimination of SmCP_A phase and a highly metastable SmA phase is induced. However, compounds containing terminal *n*alkanoate groups also exhibit SmCP_A phase. Interestingly, a polymorphism was observed for a homologue of this series with *n*=17. The investigations carried out in this chapter again emphasize the importance of the nature of the terminal-linking group in influencing the type of mesophase obtained.

Chapter 7 begins with a brief introduction to liquid crystal dimers. A variety of liquid crystalline dimers formed using both rod-like as well as disc-like units are quite well known [21]. However, mesogenic dimers made of BC units are relatively new and not investigated in detail. The first dimesogens in which two bent-core molecules are connected by flexible dimethylsiloxane units were also reported [22] a couple of years ago. Very recently, Kosata *et al.* reported [23] several liquid crystalline dimers composed of bent-core mesogenic units, which are terminally linked using three different types of spacers. However, they did not obtain a mesophase in dimer with an alkylene spacer. Interestingly, we were able to show that using the same basic structure but with suitable substituents, a switchable mesophase could be obtained.

In this chapter, the synthesis and mesomorphic properties of several novel symmetric dimers composed of BC molecules and linked by a flexible alkylene spacer and belonging to three different series are described. In order to study the structure-property relationships, the length of the alkylene spacer (n= 7-12 for m=14) as well as those of the terminal n-alkoxy chains (m=8-12, 14, 16, 18 for n=9 and 12) have been varied. The general structure of the dimers investigated is shown below.





Dimers, which do not contain any lateral substituent in the bent-core exhibit a highly metastable phase. However, substitution by fluorine resulted in a thermodynamically stable enantiotropic phase. The dimers, which contain four fluoro substituents in the molecule, show wide thermal range for the mesophase. All the dimers investigated display the same type of mesophase, which has been characterized as a $Col_{ob}P_F$ phase. However, in one dimer, a transition from $Col_{ob}P_F$ phase to a metastable phase was observed. A structural model for the $Col_{ob}P_F$ phase has been proposed, in which it is assumed that two BC units of a dimer are located at the opposite corners of the lattice. The investigations carried out on these dimers reveal that for a fixed spacer, the thermal range of the mesophase increases with increase in terminal chain length. Also, an odd-even effect is observed when the length of the flexible spacer is varied and it is observed that the dimers with even number of methyelne units in the spacer have higher clearing temperatures than those with odd-number. The results also suggest that the parity of the spacer has no effect on the type of the mesophase observed at least in the dimers investigated.

The results presented in this thesis are reported in the following publications.

- Banana-shaped mesogens: mesomorphic properties of seven-ring esters derived from 5-chlororesorcinol
 S. Umadevi and B. K. Sadashiva, *Liq. Cryst.*, **32**, 287 (2005).
- [2] New five-ring symmetrical bent-core mesogens exhibiting the fascinating B₇ phase
 S. Umadevi and B. K. Sadashiva, *Liq. Cryst.*, **32**, 1233 (2005).
- [3] Mesogenic dimers composed of bent-core molecules with flexible alkylene spacer
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- Polar columnar and lamellar mesophases in homologous bent-core compounds derived from methyl 3, 5-dihydroxybenzoate
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